

**General information about company**

Scrip code	512038
NSE Symbol	
MSEI Symbol	
ISIN	INE887D01016
Name of the company	Aaswa Trading and Exports Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-03-2023
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

**Declaration**

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

**Table VI - Statement showing foreign ownership limits**

<b>Particular</b>	<b>Approved limits (%)</b>	<b>Limits utilized (%)</b>
As on shareholding date	10	0
As on the end of previous 1st quarter	10	0
As on the end of previous 2nd quarter	10	0
As on the end of previous 3rd quarter	10	0
As on the end of previous 4th quarter	10	0

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	5	500720			500720	69.54	500720		500720	69.54
(B)	Public	357	219280			219280	30.46	219280		219280	30.46
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	<b>Total</b>	<b>362</b>	<b>720000</b>			<b>720000</b>	<b>100</b>	<b>720000</b>		<b>720000</b>	<b>100</b>

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
(A)	Promoter & Promoter Group				69.54					496860			
(B)	Public				30.46					800	0	0	0
(C)	Non Promoter-Non Public												
(C1)	Shares underlying DRs												
(C2)	Shares held by Employee Trusts												
	Total				100					497660	0	0	0

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	4	497380			497380	69.08	497380		497380	69.08
(d)	Any Other (specify)	1	3340			3340	0.46	3340		3340	0.46
Sub-Total (A)(1)		5	500720			500720	69.54	500720		500720	69.54
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		5	500720			500720	69.54	500720		500720	69.54
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions (Domestic)										
(2)	Institutions (Foreign)										
(3)	Central Government / State Government(s)										
(4)	Non-institutions										
(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	352	182450			182450	25.34	182450		182450	25.34
(l)	Bodies Corporate	1	800			800	0.11	800		800	0.11
(m)	Any Other (specify)	4	36030			36030	5	36030		36030	5
Sub-Total (B)(4)		357	219280			219280	30.46	219280		219280	30.46
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)		357	219280			219280	30.46	219280		219280	30.46
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total ( A+B+C2 )		362	720000			720000	100	720000		720000	100
Total (A+B+C )		362	720000			720000	100	720000		720000	100

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
(1)	Indian											
(a)				69.08					493520			
(d)				0.46					3340			
Sub-Total (A) (1)				69.54					496860			
(2)	Foreign											
Total Shareholding of Promoter and Promoter Group (A)=(A) (1)+(A)(2)				69.54					496860			
B	Table III - Statement showing shareholding pattern of the Public shareholder											
(1)	Institutions (Domestic)											
(2)	Institutions (Foreign)											
(3)	Central Government / State Government(s)											
(4)	Non-institutions											
(g)				25.34					0	0	0	0
(l)				0.11					800	0	0	0
(m)				5					0	0	0	0
Sub-Total (B) (4)				30.46					800	0	0	0
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)				30.46					800	0	0	0
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder											
Total ( A+B+C2 )				100					497660			
Total (A+B+C )				100					497660			

**Individuals/Hindu undivided Family**

Serial No.	1	2	3	4	
Name of the Shareholders (I)	Umesh Kumar Sahay	Abhishek Narbaria	Navnit C M Parikh	Navnit C M Parikh	<a href="#">Click here to go back</a>
PAN (II)	BOIPS8195D	AFXPN5866M	ZZZZZ9999Z	ZZZZZ9999Z	Total
No. of fully paid up equity shares held (IV)	246760	246760	3760	100	497380
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	246760	246760	3760	100	497380
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	34.27	34.27	0.52	0.01	69.08
<b>Number of Voting Rights held in each class of securities (IX)</b>					
Class eg:X	246760	246760	3760	100	497380
Class eg:y					
Total	246760	246760	3760	100	497380
Total as a % of Total Voting rights	34.27	34.27	0.52	0.01	69.08
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	34.27	34.27	0.52	0.01	69.08
<b>Number of Locked in shares (XII)</b>					
No. (a)					
As a % of total Shares held (b)					
<b>Number of Shares pledged or otherwise encumbered (XIII)</b>					
No. (a)					



As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	246760	246760	0	0	493520
Reason for not providing PAN					
Reason for not providing PAN			Textual Information(1)	Textual Information(2)	
Shareholder type	Promoter	Promoter	Promoter	Promoter	

**Text Block**

Textual Information(1)

Mr. Navnit C M Parikh is dead and no legal heir claimed for the transmission. So company does not have the PAN of the above said promoter.

Textual Information(2)

Mr. Navnit C M Parikh is dead and no legal heir claimed for the transmission. So company does not have the PAN of the above said promoter.

**Any Other (specify)**

Searial No.	1	2	3	
Category	Bodies Corporate	Bodies Corporate	Other	<a href="#">Click here to go back</a>
Name of the Shareholders (I)	Akalu Holdings Pvt. Ltd.	Small Three Co-Ordinates Inv.P.Ltd.	AASWA TRADING & EXPORTS LTD - UNCLAIMED SECURITIES SUSPENSE A/C	
PAN (II)	ZZZZZ9999Z	ZZZZZ9999Z	AAACA9728Q	Total
No. of the Shareholders (I)	0	0	1	1
No. of fully paid up equity shares held (IV)	0	0	3340	3340
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	0	0	3340	3340
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	0	0.46	0.46
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	0	0	3340	3340
Class eg:y				
Total	0	0	3340	3340
Total as a % of Total Voting rights	0	0	0.46	0.46
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0	0	0.46	0.46
Number of Locked in shares (XII)				
No. (a)				

As a % of total Shares held (b)				
Number of Shares pledged or otherwise encumbered (XIII)				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	0	0	3340	3340
Reason for not providing PAN				
Reason for not providing PAN	Textual Information(1)	Textual Information(2)		
Shareholder type	Promoter Group	Promoter Group	Promoter Group	

**Text Block**

Textual Information(1)

PAN Card not availavle and promoters are not trecable.

Textual Information(2)

PAN Card not availavle and promoters are not trecable.

**Any Other (specify)**

Serial No.	1	2	3	4	
Category	Trusts	Trusts	Trusts	Trusts	
Category / More than 1 percentage	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	
Name of the Shareholders (I)	Virendra R. Sheth (Shivam Trust)	Ambalal C. Mehta (Sadhana Trust)	Lalshankar S. Sevak (Saumya Trust)	Suryakant N. Patel (Suvidha Trust)	<a href="#">Click here to go back</a>
PAN (II)	AACTS4888C	AAATS3526P	AAATS3529C	AAATS3534P	Total
No. of the Shareholders (I)	1	1	1	1	0
No. of fully paid up equity shares held (IV)	9030	9000	9000	9000	0
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	9030	9000	9000	9000	0
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.25	1.25	1.25	1.25	0
<b>Number of Voting Rights held in each class of securities (IX)</b>					
Class eg: X	9030	9000	9000	9000	0
Class eg:y					
Total	9030	9000	9000	9000	0
Total as a % of Total Voting rights	1.25	1.25	1.25	1.25	0
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.25	1.25	1.25	1.25	0
<b>Number of Locked in shares (XII)</b>					

No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	0	0	0	0	0
Reason for not providing PAN					
Reason for not providing PAN					
Sub-categorization of shares					
Shareholding (No. of shares) under					
Sub-category (i)	0	0	0	0	0
Sub-category (ii)	0	0	0	0	0
Sub-category (iii)	0	0	0	0	0

