	S	hareholding Pattern Under Regulation 31 of S	SEBI(Listing Obligations and Disclosure Red	quiremens	st) Regulations, 2015					
1	Nar	ne of Listed Entity	AASWA TRADING AND E	G AND EXPORTS LIMITED						
2	Scr	ip Code/Name of Scrip/Class of Security	BSE - 5120	- 512038						
3	Sha	re Holding Pattern Filed under: Reg. 31 (1) (a)/Reg.3	1(1)(b)/Reg31(1) (c)							
	a.	If under 31(1)(b) then indicate the report for Quarter	r ending	31	1st December, 2021					
	b.	If under 31(1)(c) then indicate date of allotment/exti	inguishment/Listing Date		N.A.					
4	Dec	claration: The Listed entity is required to submit the fo	ollowing declaration to the extent of submission of	information	1:-					
		Particulars		Yes*	No*					
	1	Whether the Listed Entity has issued any partly paid	up shares?	_	$\sqrt{}$					
	2	Whether the Listed Entity has issued any Convertible	e Securities or Warrants?	_	$\sqrt{}$					
	3	Whether the Listed Entity has any shares against whi	ich depository receipts are issued?	_	$\sqrt{}$					
	4	Whether the Listed Entity has any shares in locked-ir	1?	_	$\sqrt{}$					
	5	Whether any shares held by promoters are pledge or	otherwise encumbered?	_	$\sqrt{}$					
	6	Whether Company has equity shares with differentia	l voting right?	_	$\sqrt{}$					
	7	Whether the listed entity has any significant beneficia	al owner?	-	$\sqrt{}$					
					•					
	Sec be o valı	the Listed Entity selects the option 'No' for the questurities/Warrants, depository receipts, locked-in shall shad at the time of dissemination on the Stock Expression will be considered as 'Zero' by default on submission at of holding of specified securities.	ares, No of shares pledged or otherwise encum cchange website. Also wherever there is 'No' declar	bered by pr	omoters, as applicable, shall not					

Table	I - Summary Statemen	t holding of eno	rified cognities															1
Table	1 - Summary Statemen	it notaing of spec	illed securities															
Cate	Category of	Nos. of	No. of fully paid	No. of	No. of shares	Total nos.	Shareholdi	Number o	f Voting Righ	its held in ea	ch class of	No. of Shares	Shareholding, as	Numbe	er of	Number	of Shares pledged	Number of equity
gory	shareholders		up equity shares					securities		(E	X)	Underlying	a		in shares	or other		shares held in
(I)	(II)	(III)	held (IV)		Depositor y	(VII)=	of total no.						Outstanding % assuming full (XII)				(XIII)	dematerialized
				equity shares	Receipts (VI)	IV+V+VI	of shares (calculated		422.1			convertible securities	conversion of convertible		I			form (XIV)
				held			as per		o. of Voting R	-	Total as a % of	(including	securities (as a	Nos (a)	As a % of total	No. (a)	As a % of total Shares held (b)	
				(V)			SCRR,	Class: Eg:		Total	(A+B+C)	Warrants)	percentage of	(a)	Shares		Shares held (b)	
				, ,			1957)	Α.	Y		(11.2.0)	(X)	diluted share		held (b)			
							(VIII) As a						capital)		, ,			
							% of						(XI)= (VII)+(X)					
							(A+B+C2)						As a % of (A+B+C2)					
													(A1B1C2)					
(A)	Promoter &	13	500720	0	0	500720	69.54	500720	0	500720	69.54	0	69.54	0	0	0	0	493520
(B)	Promoter Group Public	357	219280	0	0	219280	30.46	219280	0	219280	30.46	0	30.46	0	0	0	0	0
(B)	Public	357	219280	U	U	219280	30.46	219280	U	219280	30.46	Ü	30.46	U	U	"	0	U
(C)	Non Promoter-Non																	
(-)	Public																	
(C1)	Shares underlying	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	DRs																	
(C2)	Shares held by	0	0	0	0	0		0	0	0	0	0	0	0	0	0	0	0
(62)	Employees Trusts	U	0	0			'	U	U	U	"	U		"	0	"		U
	Total	370	720000	0	0	720000	100	720000	0	720000	100	0	100	0	0	0	0	493520
<u> </u>	10441	0,0	5000		, ,								100	ļ	ļ	ļ ,		113020

N (I)	Category & Name of shareholders (II)	Entity Type	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid- up equity shares	No. of shares underl y ing	s held (VII)= -1 (IV)+(V)+ (VI)	Shareholdi ng as a % of total no. of shares	of securitie	es	Rights held in 6		No. of Shares Underlying Outstanding convertible	Shareholding, as a % assuming full conversion of	in shares (XII)		pledged o encumb	r of Shares or otherwise ered (XIII)	Number of equity shares held in dematerialized
				(IV)	held (V)	y ing Deposi		(calculated	No. of voting Rights Total as a			securities	convertible	No.	As a %		As a % of	form (XIV	
					iciu (v)	t ory Receip t s (VI)		as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Class X	Class Y		% of Total Voting Rights	(including Warrants) (X)	securities (as a percentage of diluted share capital) (XI)=(VII)+ (X) as a % of	(a)	of total shares held(b)	(a)	total shares held(b)	ioim (xiv
A1	Indian													4 : 11 : 7"1					
(a)	Individuals/Hindu undivided		11	497670	0	0	497670	69.1208	497670	0	497670	69.12	0	0	0	0	0	0	
	Family Shefali Chintan Parikh	Promoter		432920	0	0	432920	60.1278	432920	0	432920	60.13	0	0	0	0	0	0	4329
	Uttara Chintan Parikh	Promoter Group		60600	0	0	60600	8.4167	60600	0	60600	8.42	0	0	0	0	0	0	600
														,					-
	Navnit C M Parikh	Promoter Group		3860	0	0	3860	0.5361	3860	0	3860	0.54	0	0	0	0	0	0	
	Shripal Sevantilal Morakhia	Promoter Group		60	0	0	60	0.0083	60		60	0.01	0	0	0	0	0	0	
	Navinchandra Kantilal	Promoter Group		50	0	0	50	0.0069	50	0	50	0.01	0	0	0	0	0	0	
	Morakhia	Daniel C.		p.o.			=0	0.0000	FO	_	p.o.	0.01	^		-		_		<u> </u>
	Sangeeta Sevantilal Morakhia	Promoter Group		50	0	0	50	0.0069	50	0	50	0.01	0	0	0	0	0	0	ĺ
	Shreyas Sevantilal Morakhia	Promoter Group		50	0	0	50	0.0069	50		50	0.01	0	0	0	0	0	0	
	Vimla Sevantilal Morakhia	Promoter Group		50	0	0	50	0.0069	50	0	50	0.01	0	0	0	0	0	0	
	Harsh Anubhai Javeri	Promoter Group		10	0	0	10	0.0014	10	0	10	0.00	0	0	0	0	0	0	
	Swarup H. Javeri	Promoter Group		10	0	0	10	0.0014	10	0	10	0.00	0	0	0	0	0	0	
	Urvashi A. Javeri	Promoter Group		10	0	0	10	0.0014	10	0	10	0.00	0	0	0	0	0	0	
(b)	Central Government/State Governments		0	0	0	0	0	0.0000	0	0	0	0.00	0	0	0	0	0	0	
	Name						0	0.0000	0		0	0.00							
(c)	Finacial Institutions/ Banks		0	0	0	0	0	0.0000	0	0	0	0.00	0	0	0	0	0	0	
	Name						0	0.0000	0		0	0.00							
(d)	Any Other (Bodies		2	3050	0	0	3050	0.4236	3050	0	3050	0.4236	0	0	0	0	0	0	
. ,	Akalu Holdings Pvt. Ltd.	Promoter Group		2950	0	0	2950	0.4097	2950	0	2950	0.4097	0	0	0	0	0	0	
	Small Three Co-Ordinates Inv.	Promoter Group		100	0	0	100	0.0139	100	0	100	0.0139	0	0	0	0	0	0	
	P. Ltd.									Ů			0		Ů	Ü		·	
	Sub-Total (A)(1)		13	500720	0	0	500720	69.5444	500720	0	500720	69.5444	0	0	0	0	0	0	493
	Foreign Indviduals (Non-Resident Individuals/Foreign Individual)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	Name																		
(b)	Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	Name																		
(c)	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	Name																		
(d)	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	<u> </u>
	Name	_		_	-		•												
(f)	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	.
	Name Sub-Total (A)(2)		0	0		0	0	0	0	0	0	0	0	0		0	0	0	+
	Sub-Total (A)(2) Total Shareholding of		0	0	0	U	0	0	0	0	0	0	0	0	0	0	0	0	1
	Promoters and Promoter Group																		
	(A)=(A)(1)+(A)(2)		13	500720	0	0	500720	69.5444	500720	0	500720	69.5444	0	0	0	0	0	0	493
	Shares which remain unclaimed	and the street has	1							-		1. 1.1		M*1		-	-	-	

(1) PAN would not be displayed on website of Stock Exchange (s)
(2) The term "Encumbrance" has the same meaning as assigned under regulation 28 (3) of SEBI (Substatial Acquistion of Shares and Takeovers) Regulation, 2011

SN (I)	Category & Name of shareholders (II)	Nos. of	No. of fully paid	Partly paid-	No. of shares	Total nos. shares	Sharehold ing as	Number of Voti	ing Rights l	eld in each class o	of securities	No. of Shares	Shareholding . as	s Number of Locked in		Number	of Shares pledged	Number of equity
(I)	category a name or smartinoacts (ii)	shareholders (III)		up equity shares held	underlying Depository	held (VII)= (IV)+(V)+ (VI)	a % of total no. of shares		ing ruginus i	iciu in cuch ciuss	or securities	Underlying Outstanding	a % assuming full	shares (XII			wise encumbered	shares held in dematerialized form
				(V)	Receipts (VI)		(calculated as	No. of Voting Rights Total as a %				convertible	conversion of	No. (a)	As a % of	No. (a)	As a % of total	(XIV)
							per SCRR, 1957) (VIII) As a	Class X	Class Y	Total	of Total	securities (including	convertible securities	. ()	total	. (,)	shares held(b)	
							% of (A+B+C2)				Voting Rights	Warrants) (X)	(as a percentage		shares			
							/0 01 (A+B+C2)					wai iants) (A)	of diluted share		held(b)			
													capital)					
													(XI)=(VII)+(X)					
													as a % of					
													A+B+C2					
	Institutions																	
(a)	Mutual Funds/	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	
	Name					0	0.00	0		0	0.00							
(b)	Venture Captial Funds Names	0	0		0	0	0.00	0	0	0	0.00	0	0	0	- 0	0	0	
(c)	Alternate Investment Funds	0	n	n	n	0		0	0	0	0.00	n	n	n	n	n	n	
(0)	Names	0				0		0	T .	0	0.00		ľ				ľ	
(d)	Foreign Venture Capital Investors	0	0	0	0	0	0.00	0	0	0	0.00	0	n	0	0	0	0	İ
(-4)	Name					0		0		0	0.00		Ĭ			Ĭ	Ĭ	
(e)	Foreign Portfolio Investors	0	0	0	0	0	0.00	0		0	0.00	0	0	0	0	0	0	
	Name					0	0.00	0		0	0.00							
(f)	Financial Instiutions/Banks	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	
	Name					0	0.00	0		0	0.00							
(g)	Insurance Companies	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	
	Name					0	0.00	0		0	0.00							
(h)	Provident Funds / Pension Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	
(2)	Name	0		0	0	0	0.00	0	0	0	0.00					0		
(1)	Any Other (specify)	0	0	0	0		0.00	0	0	0	0.00	0	0	0	0	0	0	
	Foreign Institutional Investor	0	0	0	0	0	0.00	0	0	0	0.00	0.00	0.00	0.00	0.00			
	Sub Total (B)(1)	·	U	U	U	U	U	U	U	U	0.00	0.00	0.00	0.00	0.00	U	U	
B2	Cental Government/State Govetnment	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	(s)/President of India																	
	Name Sub Total (B)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	Non Institutions	U	U								U	U		U		-	U	
	Individuals-																	
()	i. Individual shareholders holding	352	182450	0	0	182450	25.3414	182450	0	182450	25.3414	0	25.3414	0	0	0	0	
	nominal																	
	More than 1% of shareholding																	
	Arun Bhogilal Modi	1	7950	0	0	7950	1.1042	7950	0	7950	1.1042	0	1.2542	0	0	0	0	
	Rameshchandra Gokaldas Shah	1	7500	0	0	7500	1.0417	7500	0	7500	1.0417	0	1.2542	0	0	0	0	
	ii. Individual shareholders holding	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	
	nominal share capital in excess of Rs. 2																	
	Lakhs																	
(b)	NBFCs registered with RBI	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	
	Name					0	0.00	0		0	0.00							
(c)	Empoyee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	
	Name					0	0.00	0		0	0.00							
(d)	Overseas Depositoires (holding DRs)	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	
	(balancing figure)																	
(e)	Any Other (specify)	5	36830	0	0	36830	5.1242	36030	l	36830	5.1242		5.1242			l		
1	Trusts	4	36030	0	0	36030	5.1242	36030	0	36030	5.1242	0	5.1242	0	0	0	0	
	More than 1% of shareholding																	
	Virendra R. Sheth (Shivam Trust)	1	9030	0	0	9030	1.2542	9030	0	9030	1.2542	0	1.2542	0	0	0	0	
	Ambalal C. Mehta (Sadhana Trust)	1	9000	0	0	9000	1.2500	9000	0	9000	1.2500	0	1.2500		0	0	0	
	Lalshankar S. Sevak (Saumya Trust)	1	9000	0	0		1.2500	9000	0	9000	1.2500	0		0	0	0		
	Suryakant N. Patel (Suvidha Trust)	1	9000	0		,000	1.2500	9000	0	9000	1.2500	0	1.2500	0	0	0	0	
2	Body Corporate	1	800	0	0	800	0.0000	800	0	800	0.0000	0	0.0000					
	Sub-Total (B) (3)	357	219280	0	0		30.4556	219280	0	219280	30.4556	0	30.4556	0	0	0	0	
	Total Public Shareholding	357	219280	0	0	219280	30.4556	219280	0	219280	30.4556	0	30.4556	0	0	0	0	
	(B)=(B)(1)+(B)(2)+(B)(3)	i l				ī				ì		ì	1				•	1

Details of the Shareholders acting as persons in concert including their Shareholding (No. of %) · NA

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/uncalimed suspense account, voting rights which are frozen etc. · NA

(3) w.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balanc e to be disclosed as held by custodian.

N (I)	Category & Name of shareholders (II)	Nos. of shareholder s (III)	fully paid up equity	up	No. of shares underlying	shares ng held ry (VII)=	. Shareholdi n g as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number	class of			Shares Underlying	Shareholding, as a % assuming full conversion of	shares (X		pledged	er of Shares or otherwise bered (XIII)	Number of equity shares held in dematerialized form (XIV)
			shares held (IV)	equity shares held (V)	Depository Receipts (VI)				Class Y		Total as a % of Total Voting Rights	g convertible securities (including Warrants) (X)	convertible securities (as a percentage	No. (a)	As a % of total shares held(b)	No. (Not Applica b le)	As a % of total shares held (Not Applicable)	
	Custodian/DR Holder Name of DR Holder (if available)												A+B+L2					
(i)																		
(ii)	Total (C) (1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	Employee Benefit Trust (under SEBI(share based Employee Benefit) Regulation,2014)																	
(a)	Name																	
	Total (C)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	Total Non- Promoter- Non Public Shareholding (C)=(C)(1)+(C)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	

Note:

⁽¹⁾ PAN would not be displayed on website of the Stock Exchange(s)

⁽²⁾ The above format needs to disclose name of all holders more than 1% of total number of shares

⁽³⁾ w.r.t the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.