Shar	reholding Pattern Under Regulation 31 of SEBI (Listing Obligations and Disclosure Requiremenst)
	Regulations, 2015

1 Name of Listed Entity	AASWA TRADING AND E	ND EXPORTS LIMITED								
2 Scrip Code/Name of Scrip/Class of Security	BSE - 5120	12038								
3 Share Holding Pattern Filed under: Reg. 31 (1)	(a)/Reg.31(1)(b)/Reg31(1) (c)									
a. If under 31(1)(b) then indicate the report for	3	1st December, 2016								
b. If under 31(1)(c) then indicate date of allotr	nent/extinguishment/Listing Date		N.A.							
4 Declaration: The Listed entity is required to sul	omit the following declaration to the extent	of submi	ssion of information:-							
Particulars	Particulars									
1 Whether the Listed Entity has issued any pa	rtly paid up shares?	_	\checkmark							
2 Whether the Listed Entity has issued any Co	nvertible Securities or Warrants?	_	\checkmark							
Whether the Listed Entity has any shares ag	ainst which depository receipts are									
3 issued?			\checkmark							
4 Whether the Listed Entity has any shares in	locked-in?	_	\checkmark							
5 Whether any shares held by promoters are	pledge or otherwise encumbered?	_	\checkmark							
*If the Listed Entity selects the option 'No' for	the questions above, the columns for the	partly pa	aid up shares, Outstandinç							
Convertible Securities/Warrants, depository r	eceipts, locked-in shares, No of shares ple	dged or	otherwise encumbered by							
promoters, as applicable, shall not be displaye	ed at the time of dissemination on the Stoc	k Exchar	nge website. Also wherever							
there is 'No' declared by Listed Entity in abov	e table the values will be considered as 'Ze	ro' by de	efault on submission of the							
format of holding of specified securities.		5								

Categ ory (I)	Category of shareholders (II)	Nos. of sharehold ers (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	shares held (VII)= IV+V+VI	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a %- of (A+B+C2)	Number of Network		hts held in each ((IX)	No. of Shares Underlying Outstanding convertible securities (including	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of		r of Locked ares (XII)	Number of pledge otherw encumb (XIII	Number of equity shares held in dematerialize d form (XIV)	
									of Voting R Class: Eg: Y	ights Total	Total as a % of (A+B+C)	Warrants) (X)	diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Nos (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	1 5
(Δ)	Promoter & Promoter Group	13	500720	0	0	500720	69.54	500720	0	500720	69.54	0	69.54	0	0	0	0	0
(B)	Public	357	219280	0	0	219280	30.46	219280	0	219280	30.46	0	30.46	0	0	0	0	0
(C)	Non Promoter-Non Public																	
((1)	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Shares held by Employees Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<u> </u>	Total	370	720000	0	0	720000	100	720000	0	720000	100	0	100	0	0	0	0	0

Table II-Statement showing shareholding pattern of the Promoters and Promoters Group

SN	Category & Name of shareholders (I)	PAN (II)	Nos. of sharehol ders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held	No. of shares underlyi ng Deposito	Total nos. shares held (VII)= (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR,	Number of securities	Voting Rig	hts held in each	n class of (IX)	No. of Shares Underlying Outstanding convertible securities	Shareholding , as a % assuming full conversion of convertible securities (as a		of Locked es (XII)	Number of pledged or o encumbere	therwise	Number of equity shares held in dematerialize d form (XIV)
					(V)	ry Receipts		1957) (VIII) As a % of	No. c	of Voting R	ights	Total as a	(including Warrants)	percentage of diluted share	No. (a)	As a % of	No. (a)	As a % of	
						(VI)		(VIII) AS a % 01 (A+B+C2)	Class X	Class Y	Total	% of Total Voting	(X)	capital)		total shares		total shares	
												Rights		(XI)=(VII)+ (X) as a		held(b)		held(b)	
												-		% of A+B+C2					
1	Indian																		
(a)	Individuals/Hindu			107/	-	_	107/	(0.400	107/	_	407/	(0.0	_	-	-	-	-		
	undivided Family Shefali Chintan Parikh		11	497670 432920	0	0 0	497670 432920	69.1208 60.1278	497670 432920	0	497670 432920	69.12 60.13	0 0	•	0	0	0	0	0
E	Uttara Chintan Parikh			60600	0	0	60600	8.4167	60600	0	60600	8.42	0		0	0	0		0
	Navnit C M Parikh			3860	0	0	3860	0.5361	3860	0	3860	0.54	0	0	0	0	0	0	0
1	Navinchandra Kantilal Morakhia			50	_		50	0.00/0	50	~	50	0.01	0	_			0		
—	Morakhia Sangeeta Sevantilal		<u> </u>	50	0	0	50	0.0069	50	0	50	0.01	0	0	0	0	0	0	0
L	Morakhia			50	0	0	50	0.0069	50	0	50	0.01	0	0	0	0	0	0	0
	Shreyas Sevantilal													_	_	_			
	Morakhia Shripal Sevantilal			50	0	0	50	0.0069	50		50	0.01	0	0	0	0	0	0	0
	Morakhia			60	0	0	60	0.0083	60	0	60	0.01	0	0	0	0	0	0	0
	inoi ditina					5		0.0000			00	0.01		0	Ű	Ű			
	Vimal Sevantilal Morakhia			50		0	50		50	0	50		0	0	0	0	0	0	0
	Harsh Anubhai Javeri Swarup H. Javeri			10		0	10 10		10 10	0	10 10		0	0	0	0	0	0	0
	Urvashi A. Javeri			10		0	10		10	0	10		0	0	0	0	0	0	0
(b)	Central				_										_				
	Government/State																		
	Governments		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	0
(c)	Name Finacial Institiutions/						0	0.00	0		0	0.00							
(0)	Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	0
	Name						0	0.00	0		0	0.00							
(d)	Any Other (Bodies Corporate)		2	3050	0	0	3050	0.4236	3050	0	3050	0.4236	0	0	0		0	0	0
	Akalu Holdings Pvt. Ltd.		2	2950	0	0	2950	0.4230	2950	0	2950	0.4230	0	0	0	0	0	0	0
	Small Three Co-Ordinates																		
	Inv. P. Ltd.		40	100	0	0	100	0.0139	100	0	100	0.0139	0	0	0	0	0	0	0
	Sub-Total (A)(1)		13	500720	0	0	500720	69.5444	500720	0	500720	69.5444	0	0	0	0	0	0	0
2	Foreign																		
	Indviduals (Non-Resident																		
	Individuals/Foreign																		
(a)	Individual) Name		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(b)	Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Name		Ľ_	ŬŰ	Ľ						Ű	Ľ_			Ľ				
(c)	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Name																		
(d)	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Name																		
(f)	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<u> </u>	Name Sub-Total (A)(2)		0	0	0	0	0	0	0	0	٥	0	0	0	0	0	0	0	0
	Total Shareholding of		Ť	0	Ŭ		0	, , , , , , , , , , , , , , , , , , ,	0	0	0	ľ		0	Ŭ	Ŭ	Ű		
1	Promoters and																		
	Promoter Group (A)=(A)(1)+(A)(2)		13	500720	0	0	500720	69.5444	500720	0	500720	69.5444	0	0	0	0	0	0	0
	Is of Shares which remain u	nclaimed r													. 0	. 0	. 0	0	
Note													*						
	AN would not be displayed					20 (0) (0=				Demol 11	0011								
(2) 1	he term "Encumbrance" has	the same	meaning as	assigned under i	regulation	28 (3)of SEI	BI (Substatial Acq	uistion of Shares	and Lakeovers)	Regulation,	2011								

Table III-Statement showing shareholding pattern of the Public Shareholders

SN	Category & Name of shareholders (I)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	held	No. of shares underlyi ng Deposito	Total nos. shares held (VII)= (IV)+(V)+ (VI)	Shareholdi ng as a % of total no. of shares (calculated	securities	-	ights held in eac	(IX)	Underlying Outstanding convertible securities	Shareholding , as a % assuming full conversion of convertible securities (as a	in share	of Locked es (XII)	pledg other encumbe	rwise red (XIII)	Number of equity shares held in dematerialize d form (XIV)
				(V)	ry Peceints		as per	No.	of Voting	Rights	Total as a	(including	percentage of	No. (a)	As a % of	No. (a)	As a % of	
					Receipts (VI)		SCRR, 1957)	Class X	Class Y	Total	% of Total Voting	Warrants) (X)	diluted share capital)		total shares		total shares	
					(1)		(VIII) As a				Rights	(1)	(XI)=(VII)+(X)		held(b)		held(b)	
							% of				-		as a % of A+B+C2					
							(A+B+C2)											
	Institutions																	
	Mutual Funds/	0	0 0	0	0	0	0.00	0		0	2.2.2	0	0	0	0	0	0	0
	Name Venture Captial Funds	0	0	0	0	0	0.00	0		0		0	0	0	0	0	0	0
(0)	Names	0	0	0	0	0	0.00	0		0		0	0	0	0	0	0	0
(c)	Alternate Investment Funds					0	0.00	0		Ū	0.00							
		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	0
	Names					0	0.00	0		0	0.00							
(d)	Foreign Venture Capital				0	0	0.00	0		0	0.00	0	0	0	0	0	0	0
\vdash	Investors Name	0	0	0	0	0	0.00	0		0	0.00	0	0	0	0	0	0	U
	Foreign Portfolio Investors			1		0	0.00	0	1	0	0.00				1			
		0	00	0	0	0	0.00	0	-	0	0.00	0	0	0	0	0	0	0
	Name					0	0.00	0		0	0.00							
(f)	Financial Instiutions/Banks					-				_								-
-	Nomo	0	0 0	0	0	0	0.00	0	-	0	0.00	0	0	0	0	0	0	0
	Name Insurance Companies	0	n	0	0	0	0.00	0		0		^	0	^	0	0	0	0
	Name	0	. 0	0	U	0	0.00	0	-	0		0	0	0	0	0	U	U
	Provident Funds/ Pension		1	1		0	0.00	0	1	0	0.00	1			1			
	Funds	0	0 0	0	0	0	0.00	0	-	0	0.00	0	0	0	0	0	0	0
	Name					0	0.00	0		0	2.2.2							
	Any Other (specify)	0	0 0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	0
	Foreign Institutional Investor	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	0
	Sub Total (B)(1)	0		0		0		0		0		0.00	0.00	0.00	0.00	0	0	0
	Cental Government/State			Ů	Ŭ	0	3	0	Ů		0.00	0.00	0.00	0.00	0.00	Ű	Ŭ	<u> </u>
	Govetnment (s)/President of																	
	India	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Name							-										-
	Sub Total (B)(2)	0	0 0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Non Institutions Individuals-																	
	i. Individual shareholders																	
	holding nominal share																	
	capital upto Rs. 2 Lakhs	353	183250	0	0	183250	25.4514	183250	0	183250	25.4514	0	25.4514	0	0	0	0	0
	ii. Individual shareholders																	
	holding nominal share																	
	capital in excess of Rs. 2 Lakhs																	
	Lakits	0	0 0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	0
(b)	NBFCs registered with RBI	0	0 0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	0
	Name					0	0.00	0		0	2.2.2							
(c)	Empoyee Trusts	0	0 0	0	0	0		0		0		0	0	0	0	0	0	0
(1)	Name Overseas Depositoires					0	0.00	0		0	0.00							
	Overseas Depositoires (holding DRs) (balancing			1														
	figure)	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	0
	Any Other (specify)		36030			36030	5.0042	36030	1	36030	5.0042		5.0042					
	Trusts	4							1							-		U
		4	36030	0	0	36030	5.0042	36030	0	36030	5.0042	0	5.0042	0	0	0	0	0
	More than 1% of			1														
	shareholding																	
	Virendra R. Sheth (Shivam																	
	Trust)	1	9030	0	0	9030	1.2542	9030	0	9030	1.2542	n	1.2542	n	0	0	0	0
\vdash	Ambalal C. Mehta (Sadhana		7030	0	5	7030	1.2342	7030	5	7030	1.2342	0	1.2342	0	0		J	
	Trust)	1	9000	0	0	9000	1.2500	9000	0	9000	1.2500	0	1.2500	0	0	0	0	0
	Lalshankar S. Sevak (Saumya		9000	0	0	9000	1.2500	9000	0	9000	1.2000	0	1.2300	0	0	0	0	0
	Trust)		9000	0	0	9000	1.2500	9000		0000	1 3500	0	1 2500	0	0	~	0	
	Suryakant N. Patel (Suvidha	1	9000	0	0	9000	1.2500	9000		9000	1.2500	0	1.2500	0	0	0	0	U
	Trust)			-	_	000-	1 0505			000-	1 0505	-	4 0565	-	-	_	_	
	Sub-Total (B) (3)	1 357	9000 219280	0	0	9000 219280	1.2500 30.4556	9000 219280		9000 219280	1.2500 30.4556	0	1.2500 30.4556	0	0	0	0	0
	Total Public Shareholding	307	219260	0	J	2 19260	30.4336	219260	- U	2 19260	30.4330	0	30.4330	0	0	0	J	0
	(B)=(B)(1)+(B)(2)+(B)(3)																	
		357	219280	0	0	219280	30.4556	219280	0	219280	30.4556	0	30.4556	0	0	0	0	0
D	le af the Change 11 1	<u> </u>		the la Cl	haldb dt	6 0 ()			L		L	L	l		L			
Detail	ls of the Shareholders acting a	s persons in cor	ncert including	their Share	nolding (No). Of %)												
Detail	ls of Shares which remain uncl	laimed may be	niven hear alor	a with deta	ils such ac r	umber of sharob	olders outsta	nding shares by	eld in dem	at/uncalimed.cue	nense accour	nt voting right	which are frozen o	tc				
Note	is of strates whilet retriain uncl	ianneu may de (given near afor	ig with deta	nis such dS [order 5, OutSta	iuli iy si di es fi	eru in uem	ati uncanneu sus	pense accour	n, voung right	s writeri are ii uzen e	ιυ.				
	an would not be displayed on v	website of stork	exchange (s)															
	ne above format needs to be di			of Following	Persons													
	Institutions/Non Institution	s holding more	than 1% of tot	al number o	of shares													
(3) w.	r.t. the information pertaining	to Depository	Receipts, the s	ame may be	disclosed in	n the respective c	olumns to the	extent information	ation avail	able and the balar	nc e to be dise	closed as held l	oy custodian					

Table IV-Statement showing shareholding pattern of the Non Promoter_Non Public Shareholders

SN	Category & Name of shareholders (I)	PAN (II)	shareholde	up equity shares	equity shares	No. of shares underlying Depository Receipts (VI)	nos. shares) held	Shareholding as a % of total no. of shares (calculated as		seci (urities (IX)	each class of	Underlying Outstanding convertible	ying % assuming full in shares (XII) pledged inding conversion of tible convertible				ber of Shares d or otherwise nbered (XIII) hered (XIII) hered (XIII)			
				held (IV)	held (V)		(VII)= (IV)+(V) + (VI)	(VIII) As a %		5 5		of Total		Total as a % of Total Voting Rights	securities (including Warrants)	securities (as a percentage of diluted share		As a % of total shares	(Not	As a % of total shares held (Not	demateriali zed form (XIV)
								of (A+B+C2)	Class X	Class Y	Total		(X)	capital) (XI)=(VII)+ (X) as a % of A+B+C2		held(b)		Applicable)			
1	Custodian/DR Holder																				
	Name of DR Holder (if available)																				
(i)																					
(ii)																					
	Total (C) (1)		0	0	0	0	0	0	0	0	0	0	C	0 0	0	0	0	0	0		
2	Employee Benefit Trust (under SEBI(share based Employee Benefit) Regulation,2014)																				
(a)	Name																				
	Total (C)(2)		0	0	0	0	0	0	0	0	0 0	0	C	0 0	0	0	0	0	0		
	Total Non- Promoter- Non Public Shareholding (C)=(C)(1)+ (C) (2)		0	0	0	0	0	0	0	c	0	0	C	00	0	0	0	0	0		
Note	2:																				
	PAN would not be display																				
(2)	The above format needs t	to disclose	name of all hol	Iders more	than 1% of	total number of	shares														
(3)	wrt the information per	taining to [Jonository Poc	ointe the co	mamayb	disclosed in the	rocpoctivo	columns to the	autopt infor	notion avail	hlo										

(3) w.r.t the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.