Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 20	015

1.	Name o	f Listed Entity: Aaswa Trading & Exports Limited
2.	Scrip Co	de/Name of Scrip/Class of Security: 512038
3.	Share H	olding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
	a.	If under 31(1)(b) then indicate the report for Quarter ending
	b.	If under 31(1)(c) then indicate date of allotment/extinguishment
4.	Declara	tion: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

GENERATED ON :03/10/2022 NSDL : 30/09/2022 CDSL :30/09/2022

Aaswa Trading & Exports Limited
Table I - Summary Statement holding of specified securities

							Sharehold					Shares	ing , as a						
							ing as a %	Un				Underlyin	%			Number of			
					1		ULLULAI	Number of Voting Rights held in each class				g	assuming				n pledged or otherwise		
				No. of	No. of		no. of	of securities Ou				Outstandi	full	shares		encumbered		Number	
			No. of	Partly	shares		shares					ng	conversio					of equity	
1			fully paid	paid-up	underlyin		(calculate	No of Voti	ng Rights			convertibl	n of		As a % of		As a % of	shares	
		Nos. of	up equity	equity	g	Total nos.	d as per				Total as a	е .	convertibl		total		total	held in	
		sharehold	shares	shares	Depositor	shares	SCRR,	Class eg:			% of	securities	e		Shares		Shares	demateria	
Category	Category of shareholder	ers	held	held	y Receipts	held	1957)	X	Class eg: y	Total	(A+B+C)	(including	securities	No. (a)	held(b)	No. (a)	held(b)	lised form	
(1)	(11)	(111)	(IV)	(V)	(VI)	(VII) =	(VIII) As a		(1)	X)		(X)	(XI)=	(Х	(11)	(X	(111)	(XIV)	
(A)	Promoter & Promoter Group	3	7200	0	0	7200	1.0000	7200	0	7200	1.5361	0	1.5361	0	0.0000	0	0.0000	0	
(B)	Public	359	712800	0	0	712800	99.0000	712800	0	712800	99.4639	0	99.4639	0	0.0000	NA	NA	493520	
(C)	Non Promoter - Non Public			<u> </u>	0				0			0			0.0000	NA	NA		
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0	0.0000		0.0000			NA	NA	0	
	Total	362	720000	0	0	720000	100.0000	720000	0	720000	100.0000	0	100.0000	0	0.0000	0	0.0000	493520	

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Aaswa	Trading & Exports Limited]
Table II -	Statement showing shareholding pattern of the Pro	noter and Pr	omoter Gr	oup																3
									•											1
									Sharehold		f Voting Rig	hts held in	each class	No. of	Shareholding ,	Number o	f Locked in	Number o	r otherwise	
					İ				ing %				1	Shares	assuming full		Γ	1		1
			1				No. of		calculated					Underlying	conversion of		l			Number o
					No. of	Partly	shares		as per	No of Voti	ng Rights		-	Outstanding	convertible					equity
				İ	fully paid	paid-up	underlyin		SCRR,				Total as a	convertible	securities (as		As a % of		As a % of	shares hel
1				Nos. of	up equity	equity	g	Total nos.	1957 As a				% of Total	securities	a percentage		total		total	in
1			ļ	sharehold	shares	shares	Depositor	shares	% of	1			Voting	(including	of diluted		Shares	1	Shares	demateria
	Category & Name of the shareholders	Entity Type	PAN	ers	held	held	y Receipts	held		Class eg: X	Class eg: y	Total	Rights	Warrants)	share capital)		held(b)	No. (a)	held(b)	sed form
	(2)		(11)	(111)	(IV)	(V)	(VI)	(VII) =	(VIII) As a		(1	IX)		(X)	(XI)= (VII)+(X)	()	(II)	0	CH)	(XIV)
1	Indian								L	<u> </u>	<u>]</u>	<u> </u>]							1
(a)	Individuals / Hindu Undivided Family			2	3860	0		3860	0.5361	3860	0	3860	0.5361	0	0.5361	0	0.0000	0	0.0000	0
	Navnit C M Parikh	Promoters		1	3760	0		3760	0.5222	3760	0	3760	0.5222	10	0.5222	0	0.0000	0	0.0000	0
	Navnit C M Parikh	Promoters		1	100	0		100	0.0139	100	0	100	0.0139	0	0.0139	0	0.0000	0	0.0000	0
(b)	Central Government / State Government(s)			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Financial Institutions / Banks			0	0	0	0	0	0.0000	0	0	0	0.0000	10	0.0000	0	0.0000	0	0.0000	0
	Any Other (Specify)	Unclaimed		1	3340	0		3340		3340	0	3340	0.4639	10	0.4639	0	0.0000	0	0.0000	0
	Sub Total (A)(1)		<u> </u>	3	7200	0	0	7200	1.0000	7200	0	7200	1.0000	0	1.0000	0	0.0000	0	0.0000	0
2	Foreign		ļ	ļ			ļ		ļ	ļ	ļ	ļ	ļ	ļ			ļ			
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)			0	0	0	o	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	o
	Government		1	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Institutions			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Foreign Portfolio Investor			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(e)	Any Other (Specify)	1		0	0	0	0	0	0.0000	0	0]0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Sub Total (A)(2)			0	0	lo	0	0	0.0000	0	0	0	0.0000	lo	0.0000	0	0.0000	0	0.0000	0
	Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)			3	7200	0	0	7200	1.0000	7200	0		1.0000	0	1.0000	0	0.0000	0	0.0000	0

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

	No. of
No. of shareholders	Shares
1	3340

Note:

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

GENERATED ON :03/10/2022

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Aaswa Trading & Exports Limited Table III - Statement showing shareholding pattern of the Public shareholder Shares ing , as a Number of Shares Sharehold Underlyin Number of Voting Rights held in each class Number of Locked in pledged or otherwise ing % assuming of securities calculated shares encumbered No. of Sub-categorization of shares Outstandi full No. of Partly chares as per of equity Shareholding(No. of shares) fully paid paid-up SCRR. underlyin Total as a convertibl n of As a % of As a % of shares No of Voting Rights up equity 1957 As a Nos. of Total nos % of Total total total held in shares shares Depositor shares % of Voting securities Shares Shares demateria category(i category(i category(i Category & Name of the shareholders PAN held held y Receipts held (A+B+C2) | Class eg: X Class eg: y | Total Rights securities No. (a) including held(b) No. (a) held(b) lised form (11) (111) (IV) (V) (VI) (VII) = (VIII) As a (X) (XI)= (XII) (XIII) (XIV) (XV) Institutions (Domestic) (a) Mutual Fund ٥ 0.0000 0 0.0000 0.0000 0.0000 NA NA (b) **Venture Capital Funds** 0 0.0000 0 0.0000 0.0000 0.0000 NA NA Alternate Investment Funds (c) 0 0.0000 0 0.0000 0.0000 0.0000 NA NA 0 n (d) Banks 0.0000 0 0.0000 0.0000 0 0.0000 NA NA 0 Insurance Companies 0.0000 0 0.0000 0.0000 0 0.0000 NA NA n Provident Funds/ Pension Funds 0.0000 0 0.0000 0.0000 0.0000 NA NA lo (G) **Asset Reconstruction Companies** 0 0.0000 0 0.0000 NA 0.0000 0.0000 NA 0 Sovereign Wealth Funds {h} 0 0.0000 0 0.0000 0.0000 0.0000 NA NA **NBFCs registered with RBI** 0 0.0000 0 0.0000 0.0000 0.0000 NA NA 0 Other Financial Institutions 0 0 0.0000 0 0.0000 0.0000 0.0000 NA NA 0 Any Other (Specify) 0 0.0000 0 0.0000 0.0000 0.0000 NA NA 0 Sub Total (B)(1) lo 0.0000 0.0000 0 0.0000 0.0000 NA NA 0 Institutions (Foreign) 0 Foreign Direct Investment 0 0 0.0000 0 0.0000 0.0000 0.0000 NA NΔ 0 (b) Foreign Venture Capital Investors 0 0.0000 10 0 0.0000 0.0000 0.0000 NA NA 0 0 (c) Sovereign Wealth Funds 0 0 0 0.0000 0 0.0000 0.0000 0.0000 NA NA 0 (d) Foreign Portfolio Investors Category I 0 0 0 0.0000 0 0.0000 0.0000 0.0000 NA NA 0 ю (e) Foreign Portfolio Investors Category II 0 0.0000 0.0000 0.0000 0.0000 NA NA ĺΟ Overseas Depositories(holding DRs) (balancing figure) 0.0000 .0000 0.0000 0.0000 NA NA (g) Any Other (Specify) 0 0.0000 o 0 0.0000 0.0000 0.0000 NA NA o lo 0 Sub Total (B)(2) 0.0000 0.0000 0.0000 0.0000 NA NA 0 0 0 Central Government/ State Government(s) (a) Central Government / President of India 0 0.0000 0.0000 0.0000 0.0000 NA NA 0 10 (b) State Government / Governor 0 10 0 0.0000 lo 0.0000 0.0000 0.0000 NA NA 0 lo Shareholding by Companies or Bodies Corporate (C) where Central / State Government is a promoter 0.0000 0.0000 0.0000 0.0000 NA NΑ Sub Total (B)(3) 0 0 0.0000 0.0000 0.0000 lo 0.0000 NA NA 0 0 0 Non-Institutions 0.0000 'n 0.0000 0.0000 0.0000 NA NA 0 Associate companies / Subsidiaries 0.0000 0.0000 0.0000 0.0000 NA NA 0 Directors and their relatives (excluding (b) Independent Directors and nominee Directors) 200 0 0.03 200 0.03 0.0000 0.0000 NΔ NA Key Managerial Personnel (C) 0.0000 0 10 0.0000 0.0000 0.0000 NA NA 0 Relatives of promoters (other than 'immediate relatives' of promoters disclosed under (D) 'Promoter and Promoter Group' category) 0.0000 0.0000 0.0000 0.0000 NA NA Trusts where any person belonging to 'Promoter and Promoter Group' category is 'trustee', 'beneficiary', or 'author of the trust" (E) 0.0000 0.0000 0.0000 0.0000 NA NΔ **(f)** Investor Education and Protection Fund (IEPF) 0.0000 0.0000 0.0000 0.0000 NA NA i. Resident Individual holding nominal share capital up to Rs. 2 lakhs. 351 182250 182250 25.310 182250 182250 25.310 25.310 0.0000 NA NA ii. Resident individual holding nominal share capital in excess of Rs. 2 lakhs. 493520 493520 68.5447 493520 493520 68.5447 68.5447 0.0000 NA 493520 Abhishek Narbaria AFXPN5866M 246760 246760 34.2724 246760 246760 34.2724 34.2722 0.0000 NA NA 246760 0 Umesh Kumar Sahay BOIPS8195D 246760 246760 34.2723 246760 246760 34.2723 34.2722 0.0000 NA NA 246760 lo Non Resident Indians (NRIs) 0.0000 0.0000 0.0000 0.0000 NA

m	Foreign Nationals	la.	10	I.a.				T	T-	1-	Т.	· r :			·							
U/			10	U	- 0		U	0.0000	10	0]0	0.0000	0	0.0000	0	0.0000	NA	NA NA	0	0	0	0
(k)	Foreign Companies	0	0	0	0		0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	0	0	+
(1)	Bodies Corporate	1	800	0	0		800	0.1111	800	0	800	0.1111	0	0.1111	0	0.0000	NA	NA.	0	0	0	 -
(m)	Any Other (Specify)	4	36030	0	0		36030	5.0042	36030	0	36030	5.0042	0	5.0042	0	0.0000	NA	NA NA	0	-	10	
	Trusts	4	36030	0	0		36030	5.0042	36030	0	36030	5.0042	0	5.0042	n	0.0000	NA.	NA NA	0	10	0	10
	Virendra R. Sheth (Shivam Trust)		9030	0	0		9030		9030	0	9030	1.2542	0	1.2542	<u> </u>	0.0000	NA.	NA NA	0	0	0	-0
	Ambalal C. Mehta (Sadhana Trust)		9000	0	0		9000		9000	0	9000	1.2500	10	1.2500	ļ	0.0000	NA NA	NA NA	ļ <u>.</u>	0	10	10
	Laishankar S. Sevak (Saumya Trust)		9000	0	0		9000		9000	0	9000	1.2500	<u> </u>	1.2500	10	0.0000	NA NA	NA NA	10-	0	10	10
	Suryakant N. Patel (Suvidha Trust)		9000	0	0		9000	† 	9000	10	9000	1.2500	0	1.2500	10	0.0000			10	10	10	 • —
	Unclaimed Shares	0	0	0	0		0	0	0	0	0	0	<u> </u>	0	10	0.0000	NA	NA	10	0	0	₽
	Sub Total (B)(4)	359	71280	0 0	0		712800	99.000	71280	10	712800	99.000	0	99.000	-		NA	NA	U	0	0	0
	Total Public Shareholding (B)=		1.200	- 1	 		711.000	33.000	71200	-	712000	33.000	10	99.000	10	0.0000	NA	NA	493520	0	0	0
	(B)(1)+(B)(2)+(B)(3)+b(4)	359	71280	0 0	o		712800	99.000	71280	0	712800	99.000	0	99.000	n	0.0000	NA	NA	493520			

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

No. of shareholders	No. of Shares
1	3340

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to be disclosed along with the names of the shareholders holding 1% or more than 1% of shares of the listed entity. Column no.(XIII) is not applicable in the above format.
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.
- (4) Categorization and disclosure of each shareholder category should be carried out in the order prescribed in the above format. If a shareholder is falling under more than one category, then the same shall be classified in the category falling first
- (5) Sub-categorization of shares under column no.(XV) will be based on shareholding(no. of shares)under the following sub-categories:
- (i) Shareholder who are represented by a nominee Director on the board of the listed entity or have the right to nominate a representative (i.e. Director) on the board of the listed entity.
- (ii) Shareholder who have entered into shareholder agreement with the listed entity.
- (iii) Shareholders acting as persons in concert with promoters.

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CDSL:30/09/2022

Aaswa	Trading & Exports Limited																		
Table IV	- Statement showing shareholding pattern of the No	n Promoter- No	n Public sl	hareholde	r														
			1					1]				Shares	ing , as a			Ï		
			İ	Ì				Sharehold		Number of Voting Rights held in each class			1	Underlyin %			Number of Shares		l
								ing %					g			· 1		f Locked in	in pledged or otherwise
					1	No. of		calculated	of securitie	es			Outstandi	full	shares		encumber	ed	Number
				No. of	Partly	shares	,	as per					ng	conversio			1	1	of equity
				fully paid	paid-up	underlyin			No of Voti	ng Rights			convertibl	n of		As a % of		As a % of	shares
1			Nos. of	up equity	equity	١-	1	1957 As a		T		Total as a	e	convertibl		total		total	held in
			sharehold	1	shares	Depositor		1	Class eg:		1	% of	securities	1		Shares	-	Shares	demateria
	Category & Name of the shareholders	PAN	ers	held	+	y Receipts		(A+B+C2)	X	Class eg: y	•	(A+B+C)		securities	No. (a)	held(b)	No. (a)	held(b)	lised form
	(1)	(11)	(111)	(IV)	(v)	(VI)		(VIII) As a	ļ	(1	X)	·	(X)	(XI)=	()	(II)	()	(III)	(XIV)
1	Custodian/DR Holder		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Employee Benefit Trust / Employee Welfare Trust under			j	į		ļ								1	1		1	
	SEBI (Share based Employee Benefits and Sweat Equity)	į			Ì	,	l			l .		i	1					1	1
2	Regulations, 2021		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Total Non-Promoter- Non Public Shareholding (C)=]			ļ		1		!	1					1	
	(C)(1)+(C)(2)	1	0	0	0	0	J0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to be disclosed along with the names of the shareholders holding 1% or more than 1% of shares of the listed entity. Column no.(XIII) is not applicable in the above format.
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.

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