

AASWA TRADING AND EXPORTS LIMITED

REGD. OFFICE : 32, MILANPARK SOCIETY, NR. JAWAHAR CHOWK, MANINAGAR, AHMEDABAD – 380 008.
CIN : L51100GJ1984PLC024704 E-MAIL : aaswaexports@yahoo.co.in TELEPHONE : 079-25462907

14th October, 2015

o/c

To,
The Bombay Stock Exchange Ltd
Corporate Relationship Department,
1st floor, New Trading Building,
Rotunda Building, P. J. Towers,
Dalal Street, Fort, Mumbai - 400 001.
SCRIP CODE: 512038

Ahmedabad Stock Exchange Limited
Kamdhenu Complex,
Opp. Sahajanand College
Panjarapole,
Ahmedabad – 380 015
SCRIP CODE: 01070

Dear Sir,

Sub: Compliance of Clause 35 of the Listing Agreement

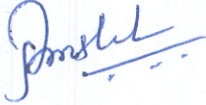
Pursuant to Clause 35 of the Listing Agreement and as per the prescribed format, we are enclosing herewith the Shareholding Pattern of the Company for the quarter and half year ended on 30th September, 2015 alongwith the relevant enclosures.

Kindly take note of the same.

Thanking you,

Yours truly,

For, Aaswa Trading & Exports Limited



Pritesh Shah
Company Secretary



CLAUSE 35

(I) (a) Statement Showing Shareholding Pattern

Scrip Code, Name of the Scrip, Class of Security : Ahmedabad Stock Exchange-1070, Aaswa Trading and Exports Ltd., Equity Shares			
Scrip Code, Name of the Scrip, Class of Security : Bombay Stock Exchange-512038, Aaswa Trading and Exports Ltd., Equity Shares			
QUARTER ENDED :- 30/09/2015			
Partly paid-up shares :-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group	0	0.00	0.00
Held by public	0	0.00	0.00
Total	0	0.00	0.00
Outstanding convertible securities :-	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group	0	0.00	0.00
Held by public	0	0.00	0.00
Total	0	0.00	0.00
Warrants :-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of Warrants
Held by promoter/promoter group	0	0.00	0.00
Held by public	0	0.00	0.00
Total	0	0.00	0.00
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities	No. of Shares : 720000 Amount Rs. : 7200000		

For, AASWA TRADING AND EXPORTS LIMITED

Shub Pritesh Shah

PRITESH SHAH
Company Secretary (ACS 27940)



Category Code (I)	Category of Shareholder (II)	Number of Share Holders (III)	Total Number of Shares (IV)	Number of Shares held in dematerialized form (V)	Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
					As a % Age of (A+B) (VI)	As a % Age of (A+B+C) (VII)	Number of shares (VIII)	As a % Age (IX) = (VIII)/(IV)*100
(A)	Promoter and Promoter Group							
(1)	Indian	-	-	-	-	-	-	-
(a)	Individuals/Hindu Undivided Family	13	497670	-	69.12	-	0	0.00
(b)	Central Government/State Government(s)	-	-	-	-	-	-	-
(c)	Bodies Corporate	2	3050	-	0.42	-	0	0.00
(d)	Financial Institutions/Banks	-	-	-	-	-	-	-
(e)	Any Other (specify)	-	-	-	-	-	-	-
	Sub - Total (A) (1)	15	500720	0	69.54	-	0	0.00
(2)	Foreign							
(a)	Individuals (Non Resident Individuals/Foreign Individuals)	-	-	-	-	-	-	-
(b)	Bodies Corporate	-	-	-	-	-	-	-
(c)	Institutions	-	-	-	-	-	-	-
(d)	Qualified Foreign Investor	-	-	-	-	-	-	-
(e)	Any Other (specify)	-	-	-	-	-	-	-
	Sub - Total (A) (2)	0	0	0	-	-	0	0.00
	Total Shareholding of Promoter (A) = (A)(1) + (A)(2) and Promoter Group	15	500720	0	69.54	0.00	0	0.00
(B)	Public Shareholding 3							
(1)	Institutions							
(a)	Mutual Funds / UTI	-	-	-	-	-	-	-
(b)	Financial Institutions/Banks	-	-	-	-	-	-	-
(c)	Central Government/State Government(s)	-	-	-	-	-	-	-
(d)	Venture Capital Funds	-	-	-	-	-	-	-
(e)	Insurance Companies	-	-	-	-	-	-	-
(f)	Foreign Institutional Investors	-	-	-	-	-	-	-
(g)	Foreign Venture Capital Investors	-	-	-	-	-	-	-
(h)	Qualified Foreign Investor	-	-	-	-	-	-	-
(i)	Any Other (specify)	-	-	-	-	-	-	-
	Sub - Total (B) (1)	0	0	0	0.00	0.00	0	0.00
(2)	Non - Institutions							
(a)	Bodies Corporate	1	800	-	0.11	-	-	-
(b)	Individuals -							
i.	Individuals Shareholders Holding Nominal Share Capital up to Rs. 1 lakh.	356	218480	-	30.34	-	-	-
ii.	Individuals Shareholders Holding Nominal Share Capital in excess of Rs. 1 lakh.	-	-	-	-	-	-	-
(c)	Qualified Foreign Investor	-	-	-	-	-	-	-
(d)	Any Other (specify)							
i.	OCB's	-	-	-	-	-	-	-
ii.	Individuals (Non Resident Individuals)	-	-	-	-	-	-	-
	Sub - Total (B) (2)	357	219280	0	30.46	0.00	0	0.00
	Total Public Shareholding (B) = (B)(1) + (B)(2)	357	219280	0	30.46	0.00	0	0.00
	TOTAL (A)+(B)	372	720000	0	100.00	0.00	0	0.00
(C)	Shares held by Custodians and against which- Depository Receipts have been issued							
(1)	Promoter and Promoter Group	0	0	0			0	0.00
(2)	Public	0	0	0			0	0.00
	TOTAL (C)	0	0	0	0.00	0.00	0	0.00
	GRAND TOTAL (A) + (B) + (C)	372	720000	0	100.00	0.00	0	0.00

For, AASWA TRADING AND EXPORTS LIMITED

Shubh Pritesh H. . .

PRITESH SHAH
Company Secretary (ACS 27940)

(1) (b) Statement showing holding of securities (including shares, warrant, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr. No.	Name of the shareholders	Details of Shares held			Encumbered shares			Details of warrant			Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital (XII)
		No. of Shares held	As a % of grand total (A)+ (B)+(C)	Number	As a % Age (VI)=(V)/(III)*100	As a % of grand total (A)+ (B) + (C) of sub-clause (I)(a)	Number of warrant held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class			
(1)	(II)	(III)	(IV)	(V)	(VI)=(V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)		
1	SHEEALI PARIKH	432920	60.13	0	0.00	0.00	0	0.00	0	0.00	60.13		
2	UTTARA PARIKH	60600	8.42	0	0.00	0.00	0	0.00	0	0.00	8.42		
3	NAVIT CHANDULAL PARIKH JOINTLY WITH SHEEALI C. PARIKH	3760	0.52	0	0.00	0.00	0	0.00	0	0.00	0.52		
4	NAVIT CHANDULAL PARIKH JOINTLY WITH VIRBALA N. PARIKH	100	0.01	0	0.00	0.00	0	0.00	0	0.00	0.01		
5	NAVINGCHANDRA KANTILAL MORAKHIA	50	0.01	0	0.00	0.00	0	0.00	0	0.00	0.01		
6	SANGEETA SEVANTILAL MORAKHIA	50	0.01	0	0.00	0.00	0	0.00	0	0.00	0.01		
7	SHREYAS SEVANTILAL MORAKHIA	50	0.01	0	0.00	0.00	0	0.00	0	0.00	0.01		
8	SHRIPAL SEVANTILAL MORAKHIA	60	0.01	0	0.00	0.00	0	0.00	0	0.00	0.01		
9	VIMLA SEVANTILAL MORAKHIA	50	0.01	0	0.00	0.00	0	0.00	0	0.00	0.01		
10	HARSH ANUBHAI JAVERI	10	0.00	0	0.00	0.00	0	0.00	0	0.00	0.00		
11	SWARUP H. JAVERI	10	0.00	0	0.00	0.00	0	0.00	0	0.00	0.00		
12	URVASHI A. JAVERI	10	0.00	0	0.00	0.00	0	0.00	0	0.00	0.00		
	TOTAL - A	497670	69.12	0	0.00	0.00	0	0.00	0	0.00	69.12		
1	AKALU HOLDINGS PVT. LTD.	2950	0.41	0	0.00	0.00	0	0.00	0	0.00	0.41		
2	SMALL THREE CO-ORDINATES INV.P.LTD.	100	0.01	0	0.00	0.00	0	0.00	0	0.00	0.01		
	TOTAL - B	3050	0.42	0	0.00	0.00	0	0.00	0	0.00	0.42		
	TOTAL - A + B	500720	69.54	0	0.00	0.00	0	0.00	0	0.00	69.54		

For, AASWA TRADING AND EXPORTS LIMITED

Shri Pritesh N.

PRITESH SHAH
Company Secretary (ACS 27940)

(1) (c)(i) Statement showing holding of securities (including shares, warrant, convertible securities) of persons belonging to the category "Public" and holding more thane 1% of the total number of shares

Sr. No.	Name of the shareholders	Number of Shares held	Shares as a % Age of total number of shares { i.e. Grand Total (A)+(B)+(C) indicated in Statement at par (I)(a) above}	Details of warrants			Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t. total number of convertible securities of the same class		
1	SHIVAM TRUST THROUGH ITS NOMINEE MR. VIRENDRA R. SHETH	9030	1.25	0	0.00	0	0.00	1.25	
2	SADHANA TRUST THROUGH ITS NOMINEE MR. AMBALAL C. MEHTA	9000	1.25	0	0.00	0	0.00	1.25	
3	SAUMYA TRUST THROUGH ITS NOMINEE MR. LALSHANKAR S. SEVAK	9000	1.25	0	0.00	0	0.00	1.25	
4	SUVIDHA TRUST THROUGH ITS NOMINEE MR. SURYAKANT N. PATEL	9000	1.25	0	0.00	0	0.00	1.25	
5	ARUN BHOGLAL MODY	7950	1.10	0	0.00	0	0.00	1.10	
6	RAMESHCHANDRA GOKALDAS SHAH	7500	1.04	0	0.00	0	0.00	1.04	
	TOTAL	51480	7.15	0	0.00	0	0.00	7.15	

(1) (c)(ii) Statement showing holding of securities (including shares, warrant, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more thane 5% of the total number of shares of the company

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of Shares	Shares as a % Age of total number of shares { i.e. Grand Total (A)+(B)+(C) indicated in Statement at par (I)(a) above}	Details of warrants			Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t. total number of convertible securities of the same class		
1	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
	TOTAL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL

(1) (d) Statement Showing details of locked-in shares

Sr. No.	Name of the shareholders	Number of locked - in shares	Locked - in shares as a % Age of total number of shares { i.e. Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above }
1	NIL	NIL	NIL
	TOTAL	NIL	NIL

For, AASWA TRADING AND EXPORTS LIMITED

Shil Pratesh N.

PRITESH SHAH
Company Secretary (ACS 27940)



(II) (a) Statement Showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a %Age of total number of shares { i.e. Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	NIL	NIL	NIL	NIL
	TOTAL	NIL	NIL	NIL

(II) (b) Statement Showing holding of Depository Receipts (DRs). Where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares.

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a %Age of total number of shares { i.e. Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	NIL	NIL	NIL	NIL
	TOTAL	NIL	NIL	NIL

For, AASWA TRADING AND EXPORTS LIMITED

*Shah Pritesh N. . .*PRITESH SHAH
Company Secretary (ACS 27940)

(III) (a) Statement showing the voting pattern of shareholders, if more than one class of shares/securities is issued by the issuer.

Category Code (I)	Category of Shareholder (II)	Number of Voting Rights held in each class of securities			Total Voting Rights (III+IV+V) (VI)	Total Voting Rights i.e. (VI)	
		Equity Shares (III)	Others (IV)	Others (V)		As a % Age of (A+B) (VII)	As a % Age of (A+B+C) (VIII)
(A)	Promoter and Promoter Group						
(1)	Indian						
	(a) Individuals/Hindu Undivided Family	497670	0	0	497670		
	(b) Central Government/State Government(s)						
	(c) Bodies Corporate	3050	0	0	3050		
	(d) Financial Institutions/Banks						
	(e) Any Other (specify)						
	Sub - Total (A) (1)	500720	0	0	500720		
(2)	Foreign						
	(a) Individuals (Non Resident Individuals/Foreign Individuals)						
	(b) Bodies Corporate						
	(c) Institutions						
	(d) Qualified Foreign Investor						
	(e) Any Other (specify)						
	Sub - Total (A) (2)	0	0	0	0		
	Total Shareholding of Promoter (A) = (A)(1) + (A)(2) and Promoter Group	500720	0	0	500720	69.54	69.54
(B)	Public Shareholding						
(1)	Institutions						
	(a) Mutual Funds / UTI						
	(b) Financial Institutions/Banks						
	(c) Central Government/State Government(s)						
	(d) Venture Capital Funds						
	(e) Insurance Companies						
	(f) Foreign Institutional Investors						
	(g) Foreign Venture Capital Investors						
	(h) Qualified Foreign Investor						
	(i) Any Other (specify)						
	Sub - Total (B) (1)	0	0	0	0		
(2)	Non - Institutions						
	(a) Bodies Corporate	800	0	0	800		
	(b) Individuals -						
	i. Individuals Shareholders Holding Nominal Share Capital up to Rs. 1 lakh.	218480	0	0	218480		
	ii. Individuals Shareholders Holding Nominal Share Capital in excess of Rs. 1 lakh.						
	(c) Qualified Foreign Investor						
	(d) Any Other (specify)						
	i. OCB's						
	ii. Individuals (Non Resident Individuals)						
	Sub - Total (B) (2)	219280	0	0	219280		
	Total Public Shareholding (B) = (B)(1) + (B)(2)	219280	0	0	219280	30.46	30.46
	TOTAL (A)+(B)	720000	0	0	720000	100.00	100.00
(C)	Shares held by Custodians and against which- Depository Receipts have been issued	0	0	0	0		0.00
	GRAND TOTAL (A) + (B) + (C)	720000	0	0	720000	100.00	100.00

For, AASWA TRADING AND EXPORTS LIMITED

*Shah Pritesh Shah*PRITESH SHAH
Company Secretary (ACS 27940)