

## Shareholding Pattern Under Regulation 31 of SEBI(Listing Obligations and Disclosure Requirementst) Regulations, 2015

1	Name of Listed Entity	AASWA TRADING AND EXPORTS LIMITED		
2	Scrip Code/Name of Scrip/Class of Security	BSE - 512038		
3	Share Holding Pattern Filed under: Reg. 31 (1) (a)/Reg.31(1)(b)/Reg31(1) (c )			
	a. If under 31(1)(b) then indicate the report for Quarter ending	30th September, 2020		
	b. If under 31(1)(c) then indicate date of allotment/extinguishment/Listing Date	N.A.		
4	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-			
	Particulars	Yes*	No*	
1	Whether the Listed Entity has issued any partly paid up shares?	-	√	
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	-	√	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	-	√	
4	Whether the Listed Entity has any shares in locked-in?	-	√	
5	Whether any shares held by promoters are pledge or otherwise encumbered?	-	√	
6	Whether Company has equity shares with differential voting right?	-	√	
7	Whether the listed entity has any significant beneficial owner?	-	√	
<p>*If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.</p>				

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholders (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)= IV+V+VI	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No. of Voting Rights			Total as a % of (A+B+C)			Nos (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class: Eg: X	Class: Eg: Y	Total								
(A)	Promoter & Promoter Group	13	500720	0	0	500720	69.54	500720	0	500720	69.54	0	69.54	0	0	0	0	493520
(B)	Public	357	219280	0	0	219280	30.46	219280	0	219280	30.46	0	30.46	0	0	0	0	0
(C)	Non Promoter-Non Public																	
(C1)	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(C2)	Shares held by Employees Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	<b>Total</b>	<b>370</b>	<b>720000</b>	<b>0</b>	<b>0</b>	<b>720000</b>	<b>100</b>	<b>720000</b>	<b>0</b>	<b>720000</b>	<b>100</b>	<b>0</b>	<b>100</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>493520</b>

**Table II-Statement showing shareholding pattern of the Promoters and Promoters Group**

SN (I)	Category & Name of shareholders (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)= (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)=(VII)+ (X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No. of Voting Rights			Total as a % of Total Voting Rights			No. (a)	As a % of total shares held(b)	No. (a)	As a % of total shares held(b)		
								Class X	Class Y	Total									
1	Indian																		
(a)	Individuals/Hindu undivided Family	11	497670	0	0	497670	69.1208	497670	0	497670	69.12	0	0	0	0	0	0	0	0
	Shefali Chintan Parikh		432920	0	0	432920	60.1278	432920	0	432920	60.13	0	0	0	0	0	0	0	432920
	Uttara Chintan Parikh		60600	0	0	60600	8.4167	60600	0	60600	8.42	0	0	0	0	0	0	0	60600
	Navnit C M Parikh		3860	0	0	3860	0.5361	3860	0	3860	0.54	0	0	0	0	0	0	0	0
	Shripal Sevantilal Morakhia		60	0	0	60	0.0083	60	0	60	0.01	0	0	0	0	0	0	0	0
	Navinchandra Kantilal Morakhia		50	0	0	50	0.0069	50	0	50	0.01	0	0	0	0	0	0	0	0
	Sangeeta Sevantilal Morakhia		50	0	0	50	0.0069	50	0	50	0.01	0	0	0	0	0	0	0	0
	Shreyas Sevantilal Morakhia		50	0	0	50	0.0069	50	0	50	0.01	0	0	0	0	0	0	0	0
	Vimla Sevantilal Morakhia		50	0	0	50	0.0069	50	0	50	0.01	0	0	0	0	0	0	0	0
	Harsh Anubhai Javeri		10	0	0	10	0.0014	10	0	10	0.00	0	0	0	0	0	0	0	0
	Swarup H. Javeri		10	0	0	10	0.0014	10	0	10	0.00	0	0	0	0	0	0	0	0
	Urvashi A. Javeri		10	0	0	10	0.0014	10	0	10	0.00	0	0	0	0	0	0	0	0
(b)	Central Government/State Governments	0	0	0	0	0	0.0000	0	0	0	0.00	0	0	0	0	0	0	0	0
	Name																		
(c)	Financial Institutions/ Banks	0	0	0	0	0	0.0000	0	0	0	0.00	0	0	0	0	0	0	0	0
	Name																		
(d)	Any Other (Bodies Corporate)	2	3050	0	0	3050	0.4236	3050	0	3050	0.4236	0	0	0	0	0	0	0	0
	Akalu Holdings Pvt. Ltd.		2950	0	0	2950	0.4097	2950	0	2950	0.4097	0	0	0	0	0	0	0	0
	Small Three Co-Ordinates Inv. P. Ltd.		100	0	0	100	0.0139	100	0	100	0.0139	0	0	0	0	0	0	0	0
	<b>Sub-Total (A)(1)</b>	<b>13</b>	<b>500720</b>	<b>0</b>	<b>0</b>	<b>500720</b>	<b>69.5444</b>	<b>500720</b>	<b>0</b>	<b>500720</b>	<b>69.5444</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>493520</b>
2	Foreign																		
(a)	Individuals (Non-Resident Individuals/Foreign Individual)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Name																		
(b)	Government	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Name																		
(c)	Institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Name																		
(d)	Foreign Portfolio Investor	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Name																		
(f)	Any Other (specify)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Name																		
	<b>Sub-Total (A)(2)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
	<b>Total Shareholding of Promoters and Promoter Group (A)=(A)(1)+(A)(2)</b>	<b>13</b>	<b>500720</b>	<b>0</b>	<b>0</b>	<b>500720</b>	<b>69.5444</b>	<b>500720</b>	<b>0</b>	<b>500720</b>	<b>69.5444</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>493520</b>

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc - Nil

Note:

(1) PAN would not be displayed on website of Stock Exchange (s)

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28 (3)of SEBI (Substantial Acquisition of Shares and Takeovers) Regulation,2011

Table III-Statement showing shareholding pattern of the Public Shareholders

SN (I)	Category & Name of shareholders (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)= (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)=(VII)+ (X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No. of Voting Rights					Total as a % of Total Voting Rights	No. (a)	As a % of total shares held(b)	No. (a)		As a % of total shares held(b)
								Class X	Class Y	Total								
1	Institutions																	
(a)	Mutual Funds/	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	
	Name						0.00	0	0	0	0.00							
(b)	Venture Capital Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	
	Names						0.00	0	0	0	0.00							
(c)	Alternate Investment Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	
	Names						0.00	0	0	0	0.00							
(d)	Foreign Venture Capital Investors	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	
	Name						0.00	0	0	0	0.00							
(e)	Foreign Portfolio Investors	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	
	Name						0.00	0	0	0	0.00							
(f)	Financial Institutions/Banks	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	
	Name						0.00	0	0	0	0.00							
(g)	Insurance Companies	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	
	Name						0.00	0	0	0	0.00							
(h)	Provident Funds/ Pension Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	
	Name						0.00	0	0	0	0.00							
(i)	Any Other (specify)	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	
	Foreign Institutional Investor	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	
	<b>Sub Total (B)(1)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	
2	Central Government/State Government (s)/President of India	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	Name						0	0	0	0	0							
	<b>Sub Total (B)(2)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	
3	Non Institutions																	
(a)	Individuals-																	
	<b>i. Individual shareholders holding nominal share capital upto Rs. 2 Lakhs</b>	<b>352</b>	<b>182450</b>	<b>0</b>	<b>0</b>	<b>182450</b>	<b>25.3414</b>	<b>182450</b>	<b>0</b>	<b>182450</b>	<b>25.3414</b>	<b>0</b>	<b>25.3414</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	
	<b>More than 1% of shareholding</b>																	
	Arun Bhogjal Modi	1	7950	0	0	7950	1.1042	7950	0	7950	1.1042	0	1.2542	0	0	0	0	
	Rameshchandra Gokaldas Shah	1	7500	0	0	7500	1.0417	7500	0	7500	1.0417	0	1.2542	0	0	0	0	
	<b>ii. Individual shareholders holding nominal share capital in excess of Rs. 2 Lakhs</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	
(b)	NBFCs registered with RBI	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	
	Name						0.00	0	0	0	0.00							
(c)	Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	
	Name						0.00	0	0	0	0.00							
(d)	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	
(e)	<b>Any Other (specify)</b>	<b>5</b>	<b>36830</b>	<b>0</b>	<b>0</b>	<b>36830</b>	<b>5.1242</b>	<b>36030</b>	<b>0</b>	<b>36830</b>	<b>5.1242</b>	<b>0</b>	<b>5.1242</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	
1	<b>Trusts</b>	<b>4</b>	<b>36030</b>	<b>0</b>	<b>0</b>	<b>36030</b>	<b>5.1242</b>	<b>36030</b>	<b>0</b>	<b>36030</b>	<b>5.1242</b>	<b>0</b>	<b>5.1242</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	
	<b>More than 1% of shareholding</b>																	
	Virendra R. Sheth (Shivam Trust)	1	9030	0	0	9030	1.2542	9030	0	9030	1.2542	0	1.2542	0	0	0	0	
	Ambalal C. Mehta (Sadhana Trust)	1	9000	0	0	9000	1.2500	9000	0	9000	1.2500	0	1.2500	0	0	0	0	
	Lalshankar S. Sevak (Saumya Trust)	1	9000	0	0	9000	1.2500	9000	0	9000	1.2500	0	1.2500	0	0	0	0	
	Suryakant N. Patel (Suvidha Trust)	1	9000	0	0	9000	1.2500	9000	0	9000	1.2500	0	1.2500	0	0	0	0	
2	Body Corporate	1	800	0	0	800	0.0000	800	0	800	0.0000	0	0.0000	0	0	0	0	
	<b>Sub-Total (B) (3)</b>	<b>357</b>	<b>219280</b>	<b>0</b>	<b>0</b>	<b>219280</b>	<b>30.4556</b>	<b>219280</b>	<b>0</b>	<b>219280</b>	<b>30.4556</b>	<b>0</b>	<b>30.4556</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	
	<b>Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)</b>	<b>357</b>	<b>219280</b>	<b>0</b>	<b>0</b>	<b>219280</b>	<b>30.4556</b>	<b>219280</b>	<b>0</b>	<b>219280</b>	<b>30.4556</b>	<b>0</b>	<b>30.4556</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	

Details of the Shareholders acting as persons in concert including their Shareholding (No. of %)

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note

(1) Pan would not be displayed on website of stock exchange (s)

(2) The above format needs to be disclosed along with the name of Following Persons

Institutions/Non Institutions holding more than 1% of total number of shares

(3) w.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian

Table IV-Statement showing shareholding pattern of the Non Promoter\_Non Public Shareholders

SN (I)	Category & Name of shareholders (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)= (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)=(VII)+ (X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No. of Voting Rights					Total as a % of Total Voting Rights	No. (a)	As a % of total shares held(b)	No. (Not Applicable)		As a % of total shares held (Not Applicable)
								Class X	Class Y	Total								
1	<b>Custodian/DR Holder</b>																	
(a)	Name of DR Holder (if available)																	
(i)																		
(ii)																		
	<b>Total (C) (1)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
2	<b>Employee Benefit Trust (under SEBI(share based Employee Benefit) Regulation,2014)</b>																	
(a)	Name																	
	<b>Total (C) (2)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
	<b>Total Non- Promoter- Non Public Shareholding (C)=(C )+(C ) (2)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

Note:  
 (1) PAN would not be displayed on website of the Stock Exchange(s)  
 (2) The above format needs to disclose name of all holders more than 1% of total number of shares  
 (3) w.r.t the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.