S	Shareholding Pattern Under Regulation 31 of S	SEBI(Listing Obligations and Disclosure Rec	quiremens	st) Regulations, 2015					
Nar	ne of Listed Entity	AASWA TRADING AND E	G AND EXPORTS LIMITED						
Scr	ip Code/Name of Scrip/Class of Security	BSE - 5120	E - 512038						
Sha	re Holding Pattern Filed under: Reg. 31 (1) (a)/Reg.3	1(1)(b)/Reg31(1) (c)							
a.	If under 31(1)(b) then indicate the report for Quarter	r ending	30	Oth June, 2021					
b.	If under 31(1)(c) then indicate date of allotment/exti	inguishment/Listing Date		N.A.					
Dec	claration: The Listed entity is required to submit the fo	ollowing declaration to the extent of submission of	finformatio	n:-					
	Particulars		Yes*	No*					
1	Whether the Listed Entity has issued any partly paid	up shares?	_	\checkmark					
2	Whether the Listed Entity has issued any Convertible	Securities or Warrants?	_	$\sqrt{}$					
3	Whether the Listed Entity has any shares against whi	ch depository receipts are issued?	-	\checkmark					
4	Whether the Listed Entity has any shares in locked-ir	n?	_	V					
5	Whether any shares held by promoters are pledge or	otherwise encumbered?	_						
6	Whether Company has equity shares with differentia	l voting right?	_	V					
7	Whether the listed entity has any significant beneficia	al owner?	-	V					
				·					
Sec be o valı	urities/Warrants, depository receipts, locked-in shadisplayed at the time of dissemination on the Stock Exues will be considered as 'Zero' by default on submissions.	ares, No of shares pledged or otherwise encum change website. Also wherever there is 'No' declar	bered by pr	omoters, as applicable, shall not					
	Nan Scr Sha a. b. Dec 3 4 5 6 7	Name of Listed Entity Scrip Code/Name of Scrip/Class of Security Share Holding Pattern Filed under: Reg. 31 (1) (a)/Reg.3 a. If under 31(1)(b) then indicate the report for Quarter b. If under 31(1)(c) then indicate date of allotment/ext Declaration: The Listed entity is required to submit the for Particulars 1 Whether the Listed Entity has issued any partly paid 2 Whether the Listed Entity has issued any Convertible 3 Whether the Listed Entity has any shares against whit 4 Whether the Listed Entity has any shares in locked-in 5 Whether any shares held by promoters are pledge or 6 Whether Company has equity shares with differentia 7 Whether the listed entity has any significant beneficiant *If the Listed Entity selects the option 'No' for the quest Securities/Warrants, depository receipts, locked-in shabe displayed at the time of dissemination on the Stock Extended to the content of the conten	Name of Listed Entity Scrip Code/Name of Scrip/Class of Security BSE - 512 Share Holding Pattern Filed under: Reg. 31 (1) (a)/Reg.31(1) (b)/Reg31(1) (c) a. If under 31(1)(b) then indicate the report for Quarter ending b. If under 31(1)(c) then indicate date of allotment/extinguishment/Listing Date Declaration: The Listed entity is required to submit the following declaration to the extent of submission of Particulars 1 Whether the Listed Entity has issued any partly paid up shares? 2 Whether the Listed Entity has issued any Convertible Securities or Warrants? 3 Whether the Listed Entity has any shares against which depository receipts are issued? 4 Whether the Listed Entity has any shares in locked-in? 5 Whether any shares held by promoters are pledge or otherwise encumbered? 6 Whether Company has equity shares with differential voting right? 7 Whether the listed entity has any significant beneficial owner? *If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbe displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declavalues will be considered as 'Zero' by default on submission of the	Scrip Code/Name of Scrip/Class of Security Share Holding Pattern Filed under: Reg. 31 (1) (a)/Reg.31(1)(b)/Reg.31(1) (c) a. If under 31(1)(b) then indicate the report for Quarter ending 30 b. If under 31(1)(c) then indicate date of allotment/extinguishment/Listing Date Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information Particulars Yes* Whether the Listed Entity has issued any partly paid up shares? Whether the Listed Entity has issued any Convertible Securities or Warrants? Whether the Listed Entity has any shares against which depository receipts are issued? Whether the Listed Entity has any shares in locked-in? Whether any shares held by promoters are pledge or otherwise encumbered? Whether Company has equity shares with differential voting right? Whether the listed entity has any significant beneficial owner? *If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Out Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by propediate of the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Lister values will be considered as 'Zero' by default on submission of the					

Table	I - Summary Statemen	t holding of eno	ified cognities															1
1 abie	1 - Summary Statemen	it ilolullig of spec	lileu securities															
Cate	Category of	Nos. of	No. of fully paid	No. of	No. of shares	Total nos.	Shareholdi					Shareholding, as	olding, as Number of			of Shares pledged	Number of equity	
gory	shareholders		up equity shares					securities		(E	K)	Underlying a Locked in shares				or other		shares held in
(I)	(II)	(III)	held (IV)		Depositor y	(VII)=	of total no.						tanding % assuming full (XII)				(XIII)	dematerialized
				equity	Receipts (VI)	IV+V+VI	of shares (calculated		422.1		I	convertible securities	conversion of convertible					form (XIV)
				held			as per		o. of Voting R	-	Total as a % of	(including securities (as a			As a % of total	No. (a)	As a % of total Shares held (b)	
				(V)			SCRR,				(A+B+C)	Warrants)	, (u) (Shares		Shares held (b)	
				, ,			1957)	Α.	Y		(11.2.0)	(X)	diluted share		held (b)			
							(VIII) As a						capital)		, ,			
							% of						(XI)= (VII)+(X)					
							(A+B+C2)						As a % of (A+B+C2)					
													(ATBTCZ)					
(A)	Promoter &	13	500720	0	0	500720	69.54	500720	0	500720	69.54	0	69.54	0	0	0	0	493520
(B)	Promoter Group Public	357	219280	0	0	219280	30.46	219280	0	219280	30.46	0	30.46	0	0	0	0	0
(B)	Public	357	219280	U	0	219280	30.46	219280	U	219280	30.46	U	30.46	0	0	0	0	U
(C)	Non Promoter-Non																	
(-)	Public																	
(C1)	Shares underlying	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	DRs																	
(C2)	Shares held by	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(62)	Employees Trusts	U	0	0		0	U	U	U	U	U	0		"	0	0		U
	Total	370	720000	0	0	720000	100	720000	0	720000	100	0	100	0	0	0	0	493520
—	10441	0,0	3000	·									100	L ,			Ü	3020

Table II-St	tatement showing shareholdin	g pattern of th	he Promoters ar	nd Promote	rs Group													
SN (I)	Category & Name of shareholders (II)	Nos. of shareholder s (III)	No. of fully paid up equity shares held	Partly paid- up equity	No. of shares underl	shares held rl (VII)= (IV)+(V)+		Number of of securitie (IX)		ights held in o	each class	No. of Shares Underlying Outstanding	Shareholding, as a % assuming full	Number o in shares		pledged o	r of Shares or otherwise ered (XIII)	Number of equity shares held in dematerialized
			(IV)	shares	y ing	(VI)	of shares (calculated	No	o. of Voti	ng Rights	Total as a	convertible	conversion of convertible	No. (a)	As a %	No. (a)) As a % of total shares held(b)	form (XIV)
				held (V)	Deposi t ory Receip t s (VI)		as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Class X	Class Y	Total	% of Total Voting Rights	securities (including Warrants) (X)	percentage of diluted share capital) (XI)=(VII)+ (X) as a % of		of total shares held(b)			
	Indian																	
	Individuals/Hindu undivided Family	11		0	0	497670		497670	0	497670		0	0	0	0	0	0	0
	Shefali Chintan Parikh		432920	0	0	432920	60.1278	432920	0	432920		0	v			0	0	432920
	Uttara Chintan Parikh		60600	0	0	60600	8.4167	60600	0	60600		0	Ü		0	0	0	60600
	Navnit C M Parikh		3860	0		3860	0.5361	3860	0	3860		0	Ů			0	0	(
	Shripal Sevantilal Morakhia Navinchandra Kantilal		60 50	0	0	60 50	0.0083	60 50	0	60 50		U	0			0	0	
	Sangeeta Sevantilal Morakhia		50		_	50		50		50		0	U			0	0	(
	Shreyas Sevantilal Morakhia		50			50		50		50						0	0	(
	Vimla Sevantilal Morakhia		50		0	50		50		50		0			0	0	0	C
	Harsh Anubhai Javeri		10	0	0	10	0.0014	10	0	10	0.00	0	0	0	0	0	0	0
	Swarup H. Javeri		10	0	0	10	0.0014	10	0	10	0.00	0	0	0	0	0	0	C
	Urvashi A. Javeri		10	0	0	10	0.0014	10	0	10	0.00	0	0	0	0	0	0	C
	Central Government/State Governments	0	0	0	0	0	0.0000	0	0	0	0.00	0	0	0	0	0	0	C
	Name					0	0.0000	0		0	0.00							
(c)	Finacial Institutions/ Banks	0	0	0	0	0	0.0000	0	0	0	0.00	0	0	0	0	0	0	C
	Name					0	0.0000	0		0	0.00							
(q)	Any Other (Bodies	2	3050	0	0	3050	0.4236	3050	0	3050	0.4236	0	0	0	0	0	0	0
	Akalu Holdings Pvt. Ltd.		2950	0	0	2950	0.4097	2950	0	2950		0			0	0	0	
	Small Three Co-Ordinates Inv. P. Ltd.		100	0	0	100	0.0139	100	0	100		0	0	0	0	0	0	0
	Sub Total (A)(1)	13	500720	0	0	500720	69.5444	500720	0	500720	69.5444	0	0	0	0	0	0	493520
	Sub-Total (A)(1) Foreign	13	500/20	U	0	500720	09.5444	500/20	0	300720	09.3444	U	U	U	U	0	U	493520
(a)	Indviduals (Non-Resident Individuals/Foreign Individual)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(
	Name								<u> </u>									
	Government	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(
	Name								ļ			ļ						
(c)	Institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	Name																	
(d)	Foreign Portfolio Investor	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(
	Name																	
	Any Other (specify)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(
	Name												_		Ì			
į	Sub-Total (A)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	(
	Total Shareholding of Promoters and Promoter Group																	
	(A)=(A)(1)+(A)(2)	13	500720	0	0	500720	69.5444	500720			69.5444	0			0	0	0	49352

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc - Nil

Note:

(1) PAN would not be displayed on website of Stock Exchange (s)

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28 (3) of SEBI (Substatial Acquistion of Shares and Takeovers) Regulation, 2011

	-Statement showing shareholding patter											No. of Shares						
SN (I)	Category & Name of shareholders (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid- up equity shares held		Total nos. shares held (VII)= (IV)+(V)+ (VI)	a % of total no. of shares	(IX)		held in each class		Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding , as a % assuming full conversion of	shares (XII)		or otherv (XIII)	of Shares pledged wise encumbered	Number of equity shares held in dematerialized form
				(V)	Receipts (VI)		(calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	No. of Voting R Class X	ights Class Y	Total	Total as a % of Total Voting Rights		convertible securities (as a percentage of diluted share capital) (XI)=(VII)+ (X) as a % of	No. (a)	As a % of total shares held(b)	No. (a)	As a % of total shares held(b)	(XIV)
													A+B+C2					
	Institutions		_			_		_		_						_		
(a)	Mutual Funds/ Name	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	- 0	0	0	
(b)	Venture Captial Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	
	Names					0	0.00	0		0	0.00							
(c)	Alternate Investment Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	
(d)	Names Foreign Venture Capital Investors	0	0	0	0	0	0.00	0	0	0	0.00			0	0	0		
(a)	Name	U	U	0	U	0		0	0	0	0.00	U	U	U	- 0	0	U	
(e)	Foreign Portfolio Investors	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	
	Name					0	0.00	0		0	0.00							
(f)	Financial Institutions/Banks	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	
(a)	Name Insurance Companies	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	
(g)	Name	0				0	0.00	0	0	0	0.00		0	0	0	0	0	
(h)	Provident Funds / Pension Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	
	Name					0	0.00	0		0	0.00							
(i)	Any Other (specify)	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	
	Foreign Institutional Investor	0	0	0	0	0	0.00	0	0	0	0.00 0.00	0.00	0.00	0.00	0.00	0	0	
	Sub Total (B)(1)	0	U	0	U	U	U	U	0	U	0.00	0.00	0.00	0.00			U	
	Cental Government/State Govetnment (s)/President of India Name	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	Sub Total (B)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
В3	Non Institutions																	
(a)	Individuals- i. Individual shareholders holding nominal	352	182450	0	0	182450	25.3414	182450	0	182450	25.3414	0	25.3414	0	0	0	0	
	More than 1% of shareholding																	
	Arun Bhogilal Modi	1	7950	0	0	7950	1.1042	7950	0	7950	1.1042	0	1.2542	0	0	0	0	
	Rameshchandra Gokaldas Shah	1	7500	0	0		1.0417	7500	0	7500	1.0417	0	1.2542	0	0	0	0	
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 Lakhs	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	
(b)	NBFCs registered with RBI	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	
	Name					0	0.00	0		0	0.00			ļ				
(c)	Empoyee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	
(d)	Name Overseas Depositoires (holding DRs) (balancing figure)	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	0	0	
(e)	Any Other (specify)	5	36830	0	0	36830	5.1242	36030		36830	5.1242		5.1242					
	Trusts	4	36030	0			5.1242	36030	n	36030	5.1242	n	5.1242	n	0	0	n	
	More than 1% of shareholding	*	55050	U	0	55050	5.1242	55550	 	55050	5.1272		ULLTE	l .	-			
	Virendra R. Sheth (Shivam Trust)	1	9030	0	0	9030	1.2542	9030	0	9030	1.2542	0	1.2542	0	0	0	0	
	Ambalal C. Mehta (Sadhana Trust)	1	9000	0	0	9000	1.2500	9000		9000	1.2500	0	1.2500	0	0		_	
	Lalshankar S. Sevak (Saumya Trust)	1	9000	0			1.2500	9000			1.2500	0	1.2500	0	0		0	
2	Suryakant N. Patel (Suvidha Trust)	1	9000 800	0	0		1.2500 0.0000	9000 800	0	9000 800	1.2500	0	1.2500 0.0000	0	0	0	0	
	Body Corporate Sub-Total (B) (3)	357	219280	0			30.4556	219280		219280	0.0000 30.4556	0		0	0	0	0	
	Total Public Shareholding	357	219280	0		219280	30.4556	219280		219280	30.4556	0	30.4556	0	0		0	
	(B)=(B)(1)+(B)(2)+(B)(3)	357	219280	0	U	219280	30.4556	219280	U	219280	ა ს. 4556	0	30.4556	0	0		0	

Details of the Shareholders acting as persons in concert including their Shareholding (No. of %) · NA

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/uncalimed suspense account, voting rights which are frozen etc. · NA

(1) Pan would not be displayed on website of stock exchange (s)

(2) The above format needs to be disclosed along with the name of Following Persons

Institutions/Non Institutions Along more than 1% of Total number of shares

(3) w.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian

	V-Statement showing s	shareholding p			_													
	Category & Name of shareholders (II)	Nos. of shareholder s (III)	fully paid up equity	up	No. of shares underlying	shares held	(calculated		class of	securiti IX)	es	Shares as a % Underlying assuming full		Number of shares (X		pledged	or otherwise bered (XIII)	Number of equity shares held in dematerialized form (XIV)
			shares held (IV)	equity shares held	Depository Receipts (VI)	(VII)= (IV)+(V)+ (VI)				% of Total		g	conversion of convertible securities (as	No. (a)	As a % of total shares	No. (Not Applica	As a % of total shares held (Not	
				(v)				Class X	Class Y	Total	Rights	(including Warrants) (X)	a percentage of diluted share capital) (XI)=(VII)+ (X) as a % of A+B+C2		held(b)	b le)	Applicable)	
1	Custodian/DR Holder																	
	Name of DR Holder (if available)																	
(i)																		
(ii)																		
	Total (C) (1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	O
	Employee Benefit Trust (under SEBI(share based Employee Benefit) Regulation,2014)																	
(a)	Name																	
	Total (C)(2)	0	0				0	0	0			0	0	0	0	0	0	0
	Total Non- Promoter- Non Public Shareholding (C)=(C)(1)+(C)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

Note:

⁽¹⁾ PAN would not be displayed on website of the Stock Exchange(s)

⁽²⁾ The above format needs to disclose name of all holders more than 1% of total number of shares

⁽³⁾ w.r.t the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.