

**Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

1.	Name of Listed Entity: Aaswa Trading & Exports Limited
2.	Scrip Code/Name of Scrip/Class of Security: 512038
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
	a. If under 31(1)(b) then indicate the report for Quarter ending
	b. If under 31(1)(c) then indicate date of allotment/extinguishment
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

GENERATED ON :02/07/2022      NSDL : 30/06/2022      CDSL :30/06/2022

**Aaswa Trading & Exports Limited**

**Table I - Summary Statement holding of specified securities**

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				Shares Underlying Outstanding convertible securities (including	ing, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
								Class eg: X	Class eg: Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a	(IX)			(X)	(XI)=	(XII)		(XIII)		(XIV)	
(A)	Promoter & Promoter Group	15	500720	0	0	500720	69.5444	500720	0	500720	69.5444	0	69.5444	0	0.0000	0	0.0000	493520
(B)	Public	357	219280	0	0	219280	30.4556	219280	0	219280	30.4556	0	30.4556	0	0.0000	NA	NA	0
(C)	Non Promoter - Non Public				0				0			0			0.0000	NA	NA	
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Total	372	720000	0	0	720000	100.0000	720000	0	720000	100.0000	0	100.0000	0	0.0000	0	0.0000	493520

GENERATED ON :02/07/2022      NSDL : 30/06/2022      CDSL :30/06/2022

**Aaswa Trading & Exports Limited**
**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

1	Category & Name of the shareholders	Entity Type	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				Shares Underlying Outstanding convertible securities (including	ing, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
										No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
										Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a	(IX)			(X)	(XI)=	(XII)		(XIII)		(XIV)			
<b>(a)</b>	<b>Indian</b>																			
	<b>Individuals / Hindu Undivided Family</b>			13	497670	0	0	497670	69.1208	497670	0	497670	69.1208	0	69.1208	0	0.0000	0	0.0000	493520
	Shefali Chintan Parikh	Promoters	ABDPP0427E	1	432920	0	0	432920	60.1278	432920	0	432920	60.1278	0	60.1278	0	0.0000	0	0.0000	432920
	Uttara Chintan Parikh	Promoters	AFJPP1057Q	1	60600	0	0	60600	8.4167	60600	0	60600	8.4167	0	8.4167	0	0.0000	0	0.0000	60600
	Navnit C M Parikh	Promoters		1	3760	0	0	3760	0.5222	3760	0	3760	0.5222	0	0.5222	0	0.0000	0	0.0000	0
	Navnit C M Parikh	Promoters		1	100	0	0	100	0.0139	100	0	100	0.0139	0	0.0139	0	0.0000	0	0.0000	0
	Navinchandra Kantil Morakhia	Promoters		1	50	0	0	50	0.0069	50	0	50	0.0069	0	0.0069	0	0.0000	0	0.0000	0
	Sangeeta Sevantilal Morakhia	Promoters		1	50	0	0	50	0.0069	50	0	50	0.0069	0	0.0069	0	0.0000	0	0.0000	0
	Shreyas Sevantilal Morakhia	Promoters		1	50	0	0	50	0.0069	50	0	50	0.0069	0	0.0069	0	0.0000	0	0.0000	0
	Shripal Sevantilal Morakhia	Promoters		1	50	0	0	50	0.0069	50	0	50	0.0069	0	0.0069	0	0.0000	0	0.0000	0
	Vimla Sevantilal Morakhia	Promoters		1	50	0	0	50	0.0069	50	0	50	0.0069	0	0.0069	0	0.0000	0	0.0000	0
	Harsh Anubhai Javeri	Promoters		1	10	0	0	10	0.0014	10	0	10	0.0014	0	0.0014	0	0.0000	0	0.0000	0
	Shripal Sevantilal Morakhia	Promoters		1	10	0	0	10	0.0014	10	0	10	0.0014	0	0.0014	0	0.0000	0	0.0000	0
	Swarup H. Javeri	Promoters		1	10	0	0	10	0.0014	10	0	10	0.0014	0	0.0014	0	0.0000	0	0.0000	0
	Urvashi A. Javeri	Promoters		1	10	0	0	10	0.0014	10	0	10	0.0014	0	0.0014	0	0.0000	0	0.0000	0
<b>(b)</b>	<b>Central Government / State Government(s)</b>			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
<b>(c)</b>	<b>Financial Institutions / Banks</b>			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
<b>(d)</b>	<b>Any Other (Specify)</b>			2	3050	0	0	3050	0.4236	3050	0	3050	0.4236	0	0.4236	0	0.0000	0	0.0000	0
	<b>Bodies Corporate</b>			2	3050	0	0	3050	0.4236	3050	0	3050	0.4236	0	0.4236	0	0.0000	0	0.0000	0
	Akalu Holdings Pvt. Ltd.	Promoter Group		1	2950	0	0	2950	0.4097	2950	0	2950	0.4097	0	0.4097	0	0.0000	0	0.0000	0
	Small Three Co-Ordinates Inv.P.Ltd.	Promoter Group		1	100	0	0	100	0.0139	100	0	100	0.0139	0	0.0139	0	0.0000	0	0.0000	0
	<b>Sub Total (A)(1)</b>			15	500720	0	0	500720	69.5444	500720	0	500720	69.5444	0	69.5444	0	0.0000	0	0.0000	493520
<b>2</b>	<b>Foreign</b>																			
<b>(a)</b>	<b>Individuals (Non-Resident Individuals / Foreign Individuals)</b>			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
<b>(b)</b>	<b>Government</b>			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
<b>(c)</b>	<b>Institutions</b>			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
<b>(d)</b>	<b>Foreign Portfolio Investor</b>			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
<b>(e)</b>	<b>Any Other (Specify)</b>			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	<b>Sub Total (A)(2)</b>			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	<b>Total Shareholding Of Promoter And Promoter Group (A)=(A)(1)+(A)(2)</b>			15	500720	0	0	500720	69.5444	500720	0	500720	69.5444	0	69.5444	0	0.0000	0	0.0000	493520

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

**Note :**

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

**Aaswa Trading & Exports Limited**
**Table III - Statement showing shareholding pattern of the Public shareholder**

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			Shares Underlying Outstanding convertible securities (including	ing, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a	(IX)			(X)	(XI)=	(XII)		(XIII)	(XIV)		
<b>1</b>	<b>Institutions</b>																	
(a)	Mutual Fund		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(b)	Venture Capital Funds		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(c)	Alternate Investment Funds		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(e)	Foreign Portfolio Investor		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(f)	Financial Institutions / Banks		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(g)	Insurance Companies		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(h)	Provident Funds/ Pension Funds		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(i)	Any Other (Specify)		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Sub Total (B)(1)		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
<b>2</b>	<b>Central Government/ State Government(s)/ President of India</b>																	
	Sub Total (B)(2)		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
<b>3</b>	<b>Non-Institutions</b>																	
(a)	Individuals			0	0									0		NA	NA	
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		352	182450	0	182450	25.3403	182450	0	182450	25.3403	0	25.3403	0	0.0000	NA	NA	0
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(b)	NBFCs registered with RBI		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Trust Employee		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(d)	Overseas Depositories(holding DRs) (balancing figure)		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(c)	Any Other (Specify)		5	36830	0	36830	5.1153	36830	0	36830	5.1153	0	5.1153	0	0.0000	NA	NA	0
	Trusts		4	36030	0	36030	5.0042	36030	0	36030	5.0042	0	5.0042	0	0.0000	NA	NA	0
	Virendra R. Sheth (Shivam Trust)			9030	0	9030	1.2542	9030	0	9030	1.2542	0	1.2542	0	0.0000	NA	NA	0
	Ambalal C. Mehta (Sadhana Trust)			9000	0	9000	1.2500	9000	0	9000	1.2500	0	1.2500	0	0.0000	NA	NA	0
	Lalshankar S. Sevak (Saumya Trust)			9000	0	9000	1.2500	9000	0	9000	1.2500	0	1.2500	0	0.0000	NA	NA	0
	Suryakant N. Patel (Suvidha Trust)			9000	0	9000	1.2500	9000	0	9000	1.2500	0	1.2500	0	0.0000	NA	NA	0
	Bodies Corporate		1	800	0	800	0.1111	800	0	800	0.1111	0	0.1111	0	0.0000	NA	NA	0
	Sub Total (B)(3)		357	219280	0	219280	30.4556	219280	0	219280	30.4556	0	30.4556	0	0.0000	NA	NA	0
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		357	219280	0	219280	30.4556	219280	0	219280	30.4556	0	30.4556	0	0.0000	NA	NA	0

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

No. of shareholders	No. of Shares

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

**Aaswa Trading & Exports Limited**
**Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder**

Sl. No.	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			Shares Underlying Outstanding convertible securities (including securities	ing, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
									Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a	(IX)			(X)	(XI)=	(XII)		(XIII)		(XIV)		
1	Custodian/DR Holder		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	<b>Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>NA</b>	<b>NA</b>	<b>0</b>

**Note :**

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

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